

# Pointwise Carleman estimates and control theoretic implications

(Joint work with Professor Roberto Triggiani)

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## Outline of Talk:

1. Qualitative statement of exact controllability of an evolution equation
2. Background and some history of E.C. of Schrödinger-type equations
3. Problem setting, geometric assumptions on triple  $\{M, \Gamma_0, \Gamma_1\}$ .
4. Pointwise Carleman Inequality.
5. Carleman estimates: first version: Global uniqueness.
6. Carleman estimates: second version: Continuous observability.
7. The Euler-Bernoulli Plate Equation.

## Qualitative statement of exact controllability of an evolution equation.

**Evolution**  $y(t, x; u)$ : a solution of differential equations on  $[0, T] \times M$ .

I.  $T$  arbitrary, infinite speed of propagation.

(Schrödinger equation, Euler-Bernoulli Plate Equation, ...)

II. An initial condition  $y_0$  at  $t = 0$  and a target state  $y_T$  at  $t = T$ .

III.  $u$  a control function: acting on a portion of the boundary.

**Exact controllability problem:** Seek the control  $u$  such that:

(Initial condition  $y_0$ )  $\rightarrow$   $\left[ \text{evolution } y(t, \cdot; u) \right] \rightarrow$  (target state  $y_T$ )

Example of **NO** exact control from boundary: the evolution equation on a manifold with a closed geodesic.

For Schrödinger equations with Dirichlet control on a bounded domain  $\Omega$ :

$$\begin{cases} iy_t + \mathcal{A}y = 0 & \text{on } \Omega \\ y|_{\Gamma_0} = 0, y|_{\Gamma_1} = u \in L^2([0, T] \times \Gamma_1), & \partial\Omega = \Gamma_0 \cup \Gamma_1 \end{cases}$$

Exact controllability at  $T$  on right space ( $H^{-1}$ )

$\Updownarrow$

Solution operator being **ONTO** target space ( $H^{-1}$ )

$\Updownarrow$

Dual operator being bounded below

$\Updownarrow$

For the dual problem: Schrödinger equation with homogeneous Dirichlet B.C.:

$$\begin{cases} iw_t + \mathcal{A}^*w = 0 & \text{on } \Omega \\ w|_{\partial\Omega} = 0 \end{cases}$$

PDE interpretation (**Continuous Observability Inequality**):

$$C_T E(0) \leq \int_0^T \int_{\Gamma_1} \left| \frac{\partial w}{\partial \nu} \right|^2 d\Gamma_1 dt \quad (\mathbf{GOAL})$$

(This is an **inverse type inequality**.)

## Classic energy method for pure Schrödinger equations

**Pure Schrödinger equation:**  $iy_t - \Delta y = 0$ :

- Dirichlet boundary control + optimal regularity. [Lasiocka-Triggiani '91]
- Neumann boundary control. [Machtyngier '90]
- Optimal regularity and exact controllability of wave, Schrödinger, plate-like eq... [Ho, Lasiecka, Triggiani, Lions, Lagnese, etc].

### Classic energy method failed.....

- $\Delta$  replaced by a variable coefficient elliptic operator,  $\mathcal{A} = \sum \frac{\partial}{\partial x_i} (a_{ij}(x) \frac{\partial}{\partial x_j})$ ;
- Constant coefficient principal part with the presence of "energy level" terms:

$$iy_t - \Delta y = r(t, x) \cdot \nabla y + b(t, x)y.$$

## Integral Carleman estimates with I.o.t.

- Geometric optics methods for pure Schrödinger equations with Dirichlet control. [Lebeau '91]
- Integral Carleman estimates:
  - general evolution equations in pseudo-differential setting; [Tataru's Thesis at UVA '92 and series papers later];
  - hyperbolic equations, Schrödinger, plates, etc, with differential energy methods [Lasićka-Triggiani]
  - Riemannian geometric versions of the above "concrete" energy methods, [Lasićka-Triggiani-Yao]

### An additional obstacle:

Integral Carleman estimates: polluted by interior I.o.t. below the energy level.

$$C_T E(0) \leq \int_0^T \int_{\Gamma_1} \left| \frac{\partial w}{\partial \nu} \right|^2 d\Gamma_1 dt + \text{l.o.t.} (\|w\|_{L^2([0,T] \times M)}^2)$$

## **Approach to absorbing I.o.t:** Compactness/uniqueness method

- PDE theory (e.g. Hörmander's books)
- Control theory of PDE first by Littman,

Contradiction argument using a global uniqueness theorem for over-determinant problems.

- For analytic coefficients, one can use Holmgren-John semi-global result.
- For partial analytic coefficients, recent works by Tataru, Hörmander.

### **Could NOT do:**

In the presence of **low regularity coefficients**, possibly also time-dependent, such uniqueness result was generally not available.

## Pointwise Carleman estimates since late 90's

- Inspired by Novosibirsk school for global uniqueness for 2nd order hyperbolic equations on Euclidean domain with Dirichlet B.C. case. [ Lavrentev-Romanov-Shishataskii '86]
- Global uniqueness, observability and stabilization for 2nd order hyperbolic equations on Euclidean domain with purely Neumann B.C. case and mixed B.C. case. [Lasiacka-Triggiani-Zhang '00]
- Global uniqueness, observability and stabilization for 2nd order hyperbolic equations on Riemannian manifold with purely Neumann B.C. case and mixed B.C. case. [Triggiani-Yao '02]
- Global uniqueness, observability and stabilization for Schrödinger equations on Euclidean domain with purely Neumann B.C. case and mixed B.C. case. [Lasiacka-Triggiani-Zhang '04]



## Problem setting

$(M, g)$  Riemannian manifold;  $\partial M = \Gamma = \overline{\Gamma_0 \cup \Gamma_1}$ ,  $\Gamma_0 \cap \Gamma_1 = \emptyset$ . Given  $T > 0$ ,

$$\mathcal{P}w = iw_t + \Delta_g w = F(w, \bar{w}, \nabla w, \nabla \bar{w}) + f, \text{ in } Q = (0, T] \times M \quad (1)$$

**Linear:**  $F = (P(t, x), \nabla w) + p_0(t, x)w$  with  $|P|, p_0 \in L_\infty(Q)$ , and  $f \in L_2(Q)$ .

**Semilinear:**  $|F(w, \bar{w}, \nabla w, \nabla \bar{w})|^2 \leq C(|\nabla w|^2 + |w|^{2p})$ , with  $p < n/(n-2)$ ,  $n \geq 3$ , and  $p < \infty$ ,  $n = 1, 2$ .

For  $\Sigma = (0, T] \times \Gamma$ ,  $\Sigma_1 = (0, T] \times \Gamma_1$ , consider the boundary conditions:

(i) Neumann B.C.:  $\frac{\partial w}{\partial \nu}|_\Sigma = 0$ , and  $w|_{\Sigma_1} = u$ ,

(ii) Dirichlet B.C.:  $w|_\Sigma = 0$ , and  $\frac{\partial w}{\partial \nu}|_{\Sigma_1} = u$ .

**Observation  $u$**  in present problem (or **control** in the dual problem) takes place only on a **sub-portion**  $\Gamma_1$  of the boundary.

## Geometrical assumption on triple $\{M, \Gamma_0, \Gamma_1\}$

$\exists$  a strictly **convex** (w.r.t. Riemannian metric)  $C^3$  function  $d : \overline{M} \rightarrow \mathbf{R}^+$ , s.t. for the conservative gradient field  $h(x) = \nabla d(x)$ :

(I) Neumann B.C.:  $\frac{\partial d}{\partial \nu} = \nabla d \cdot \nu = 0$ , on  $\Gamma_0$ .

Dirichlet B.C.:  $\frac{\partial d}{\partial \nu} = \nabla d \cdot \nu \leq 0$ , on  $\Gamma_0$ .

(II) Hessian of  $d(x)$  is **coercive**:

$$D^2d(X, X) = (D_X(\nabla d), X) \geq 2(X, X), \forall x \in M, X \in T_x M$$

A **temporary assumption**: no critical point of  $d(x)$  on  $M$  (enough, near  $\Gamma_0$ ),

$$\inf_M |\nabla d| = p > 0$$

To remove above assumption, splitting  $M$  as  $M = M_1 \cup M_2$ , for two suitably overlapping sub-manifolds  $M_1$  and  $M_2$ , and working with two strictly convex functions  $d_1$  and  $d_2$ , where  $d_i$  satisfies above assumption on  $M_i$ .

## Examples

1. A bounded domain  $\Omega \subset \mathbf{R}^n$  satisfies

(i) convex (respectively, concave) on the side of the portion  $\Gamma_0$  of its boundary,

(ii) there exists a radial vector field  $(x - x_0)$  for some  $x_0 \in \mathbf{R}^n$  which is entering (respectively, exiting)  $\Omega$  through  $\Gamma_0$ .

**Convex function:**  $d(x) = \frac{1}{2}\|x - x_0\|^2 + \dots$

2. Riemannian manifolds:

- Portion of totally geodesic ball with partial geodesic flat boundary on non-negative curvature manifolds;

- Submanifold with partial geodesic flat boundary on negative curvature manifolds.

## Pseudo-convex function $\phi(t, x)$ on $Q$

Define function  $\phi(t, x)$  on  $Q = [0, T) \times M$  as

$$\phi(t, x) = d(x) - c\left(t - \frac{T}{2}\right)^2, \quad 0 \leq t \leq T, \quad x \in M$$

where  $c = c_T$  large enough s.t.  $cT^2 > 4 \max_M d(x) + 4\delta$

for sufficiently small  $\delta > 0$ , and fixed.  $\phi(t, x)$  satisfies:

(I)  $\phi(0, x) = \phi(T, x) = d(x) - \frac{cT^2}{4} \leq -\delta$ , uniformly for  $x \in M$

(II) there are  $0 < t_0 < \frac{T}{2} < t_1 < T$ , such that  $\min_{x \in M, t \in [t_0, t_1]} \phi(t, x) > -\frac{\delta}{2}$

$$E(t) = \int_M |\nabla w(t)|^2 dx;$$

$$\mathcal{E}(t) = \int_M [|\nabla w(t)|^2 + |w(t)|^2] dx = \|w(t)\|_{H^1(M)}^2.$$

## Fundamental technical Lemma

Assume  $w(t, x) \in C^2(Q, \mathbf{C})$ ,  $l(t, x) \in C^3(Q, \mathbf{R})$ ,  $\Phi(t, x), \Psi(t, x) \in C^1(Q, \mathbf{R})$ , with  $\nabla_x(l_t) \equiv 0$ ;  $\theta(t, x) = e^{l(t, x)}$ ;  $v(t, x) = \theta(t, x)w(t, x)$ .

Let  $\epsilon > 0$  arbitrary, the following pointwise inequality holds true:

$$\begin{aligned}
 & \left(1 + \frac{1}{\epsilon}\right) e^{2l(t, x)} |iw_t + \Delta w|^2 - \frac{dM}{dt} + \operatorname{div} V \\
 \geq & \left\{ -2(\Psi + \Delta l)\Delta l + 4D^2l(\nabla l, \nabla l) + 2(\nabla(\Phi - \Psi), \nabla l) - \epsilon|\Psi + \Delta l|^2 \right. \\
 & \left. - \frac{1}{\epsilon}|\nabla(\Delta l)|^2 - 4(\nabla l, \nabla(\Delta l)) - \Psi^2 - \Phi^2 + 2\Phi\Delta l + l_{tt} \right\} |v|^2 \\
 & + 2 \left\{ D^2l(\nabla v, \nabla \bar{v}) + D^2l(\nabla \bar{v}, \nabla v) - (\Psi + \Delta l)|\nabla v|^2 \right\} - \epsilon|\nabla v|^2 \quad (2)
 \end{aligned}$$

where  $M(w)$  and  $V(w)$  have explicit formula. Let  $\xi \equiv \operatorname{Re} w$  and  $\eta \equiv \operatorname{Im} w$ :

$$M(w) \equiv \theta[2(\nabla l, \nabla \xi)\eta - \xi(\nabla l, \nabla \eta) - l_t|w|^2];$$

$$\begin{aligned}
 V(w) \equiv & \theta^2 \{ (2|\nabla l|^2 - \Delta l - \Psi + \Phi)\nabla l|w|^2 + l_t(\eta\nabla \xi - \xi\nabla \eta) - \nabla l(\xi_t\eta - \xi\eta_t) \\
 & + \frac{1}{2}(2|\nabla l|^2 - \Delta l)\nabla|w|^2 + (\nabla l, \nabla \bar{w})\nabla w + (\nabla l, \nabla w)\nabla \bar{w} - \nabla l|\nabla w|^2 \}.
 \end{aligned}$$

## Pointwise Carleman Inequality

Choose  $l(t, x) = \tau\phi(t, x)$ ,  $\Phi(t, x) = -\Delta l(t, x)$ , either  $\Psi(t, x) = \Delta l(t, x)$  or  $\Psi(t, x) = 0$  in above Lemma, we have

$$\begin{aligned}
 & \left(1 + \frac{1}{\epsilon}\right) e^{2\tau\phi(t,x)} |iw_t + \Delta w|^2 - \frac{dM}{dt} + \operatorname{div} V \\
 \geq & \left\{ 2\tau \left[ D^2 d \left( \frac{\nabla v}{|\nabla v|}, \frac{\nabla \bar{v}}{|\nabla \bar{v}|} \right) + D^2 d \left( \frac{\nabla \bar{v}}{|\nabla \bar{v}|}, \frac{\nabla v}{|\nabla v|} \right) \right] - \epsilon \right\} |\nabla v|^2 \\
 & + \left[ 4\tau^3 D^2 d(\nabla d, \nabla d) + O(\tau^2) \right] |v|^2 \\
 \geq & \{4\tau\rho - \epsilon\} |\nabla v|^2 + [4\tau^3 p^2 + O(\tau^2)] |v|^2 \\
 \geq & \delta_0 \{4\tau\rho - \epsilon\} \theta^2 |\nabla \mathbf{w}|^2 + [4\tau^3 p^2 (1 - \delta_0) + O(\tau^2)] \theta^2 |\mathbf{w}|^2 \tag{3}
 \end{aligned}$$

for some  $0 < \delta_0 < 1$ . Note that:

- $D^2 d(\cdot, \cdot)$  positive definite  $\Rightarrow$  for small  $\epsilon > 0$ , the coefficient of  $|\nabla v|^2$  positive;
- $\tau > 0$  large enough and  $d(x)$  no critical point  $\Rightarrow$  the coefficient of  $v^2$  positive.

## Carleman estimates: first version

Integrate (3) over  $Q = [0, T] \times M$ , applying the assumption on  $F(w)$ , we have the following **first version Carleman estimates**:

$$\begin{aligned}
 & B_{\Sigma}(w) + \left(1 + \frac{1}{\epsilon}\right) \int_0^T \int_M e^{2\tau\phi(t,x)} [|\mathbf{F}|^2 + |f|^2] dx dt \\
 & \geq m_{\tau} \int_0^T \int_M e^{2\tau\phi(t,x)} [|\nabla w|^2 + |w|^2] dx dt - c\tau e^{-2\delta\tau} [\mathcal{E}(T) + \mathcal{E}(0)] \\
 & \geq m_{\tau} e^{-\delta\tau} \int_{t_0}^{t_1} \mathcal{E}(t) dt - C\tau e^{-2\delta\tau} [\mathcal{E}(T) + \mathcal{E}(0)].
 \end{aligned} \tag{4}$$

where  $m_{\tau} \rightarrow \infty$  as  $\tau \rightarrow \infty$  at the growth rate as  $\tau$ , the boundary term

$$B_{\Sigma}(w) = \int_0^T \int_M \operatorname{div} V dx dt = \int_0^T \int_{\Gamma} V \cdot \nu d\Gamma dt$$

$$\int_Q \frac{\partial M}{\partial t} dt dx = \left[ \int_M M dx \right]_0^T \leq \tau C \left[ \int_M e^{2\tau\phi} (|\nabla w|^2 + |w|^2) dx \right]_0^T \leq C\tau e^{-2\tau\delta} [\mathcal{E}(T) + \mathcal{E}(0)].$$

## Global uniqueness

### Theorem (Global uniqueness) [Triggiani-Xu '07]

Let  $w \in H^{2,2}(Q) = L_2(0, T; H^2(M)) \cap H^2(0, T; L_2(M))$  be a solution of (1) and  $f = 0$ , with  $\Sigma = [0, T] \times \Gamma$ ,  $\Sigma_1 = [0, T] \times \Gamma_1$ ,

(I) Neumann case:  $w$  satisfies the B.C.:

$$\frac{\partial w}{\partial \nu}|_{\Sigma} = 0, \text{ and } w|_{\Sigma_1} = 0, \text{ where } h \cdot \nu = 0 \text{ on } \Gamma_0.$$

then such a solution must vanish:  $w = 0$  in  $[0, T) \times M$ .

(II) Dirichlet case:  $w$  satisfies the B.C.:

$$w|_{\Sigma} = 0, \text{ and } \frac{\partial w}{\partial \nu}|_{\Sigma_1} = 0, \text{ where } h \cdot \nu \leq 0 \text{ on } \Gamma_0.$$

then such a solution must vanish:  $w = 0$  in  $[0, T) \times M$ .



## Sketch of proof: Carleman estimates $\Rightarrow$ Global uniqueness

- Step 1. From definition of  $B_\Sigma(w)$ , one has

$$\begin{cases} \text{Neumann B.C.} \Rightarrow B_\Sigma(w) = 0 \\ \text{Dirichlet B.C.} \Rightarrow B_\Sigma(w) = 2\tau \int_0^T \int_{\Gamma_0} e^{2\tau\phi} \left| \frac{\partial w}{\partial \nu} \right|^2 h \cdot \nu \leq 0. \end{cases}$$

- Step 2. With  $B_\Sigma(w) \leq 0$  and  $f = 0$ , from Carleman estimate (4), one has

$$0 \geq m_\tau e^{-\delta\tau} \int_{t_0}^{t_1} \mathcal{E}(t) dt - C\tau e^{-2\delta\tau} [\mathcal{E}(T) + \mathcal{E}(0)]$$

i.e.

$$\int_{t_0}^{t_1} \mathcal{E}(t) dt \leq \frac{C\tau e^{-2\delta\tau} [\mathcal{E}(T) + \mathcal{E}(0)]}{m_\tau e^{-\delta\tau}}$$

Let  $\tau \nearrow \infty$ , one has  $m(\tau) \nearrow \infty$  at the rate of  $\tau$ , we conclude that

$$\int_{t_0}^{t_1} \mathcal{E}(t) dt = 0 \Rightarrow w = 0 \text{ on } (t_0, t_1) \times M.$$

- Step 3. Extend  $(t_0, t_1) \rightarrow [0, T]$ : replace  $(t_0, t_1)$  by a large time interval where  $\phi(t, x) \geq \sigma > -\delta$  uniformly in  $M$ , with  $\sigma \rightarrow -\delta$ .  $\Rightarrow w = 0$  on  $(0, T) \times M$ ;  
 $w = 0$  on  $[0, T] \times M$  from  $w \in C([0, T]; L^2(M))$ , *a-fortiori* from  $w \in H^{2,2}(Q)$ .

## Carleman estimates: second version

- Assume  $P(t, x)$  is purely imaginary (as in the case of magnetic potential). (while  $\dim = 1$ , no need to assume  $P(t, x)$  purely imaginary)
- Energy method: multiply (1) by  $i[\Delta \bar{w} - \bar{w}]$ , take real parts,  $\Rightarrow |E(t) - E(s)| \leq G(T) + c_T \int_s^t E(\sigma) d\sigma$ . where  $G(T) = C \|f\|_{L_2(0,T;H^1(M))}^2 + \text{boundary terms}$ .
- Apply Gronwall inequality  $\Rightarrow E(t) \geq \frac{E(T)+E(0)}{2} e^{-c_T T} - G(T)$ ;  $0 \leq t \leq T$ .

First version Carleman estimates  $\Rightarrow$  **second version Carleman estimates:**

$$\begin{aligned}
 & \bar{B}_\Sigma(w) + \left(1 + \frac{1}{\epsilon}\right) \int_Q e^{2\tau\phi} |f|^2 dx dt + C \|f\|_{L_2(0,T;H^1(M))}^2 \\
 & \geq \left\{ m_\tau e^{-\delta\tau} \frac{t_1 - t_0}{2} e^{-c_T T} - c_T \tau e^{-2\delta\tau} \right\} [E(T) + E(0)] \\
 & \geq k_{\phi,\tau} [E(T) + E(0)].
 \end{aligned} \tag{5}$$

where the new boundary term:

$\bar{B}_\Sigma(w) = B_\Sigma(w) + \text{boundary terms from Gronwall inequality}$ .

## Extension of Carleman estimates to finite energy solutions

Approximation by smooth solutions to extend all previous estimates from  $H^{2,2}(Q)$  solutions to finite energy solutions in the the class

$$w \in C(0, T; H^1(M)), \quad w_t, \frac{\partial w}{\partial \nu} \in L_2(0, T; L_2(\Gamma)).$$

I. Purely Dirichlet case:  $\frac{\partial w}{\partial \nu} \in L^2(\Gamma)$  for  $w_0 \in H_0^1(M)$  from the optimal regularity result [Lasiocka-Triggiani '91]

II. Purely Neumann case:

- Difficult: no  $H^1$ -traces on boundary for finite energy solutions
- Strategy: regularization procedure as [First by Lasiecka-Tataru '93, Lasiecka-Triggiani-Zhang '00](2nd order hyperbolic equations) + an unbounded perturbation of the basic generator on the state space  $H^1(M)$ .

## Continuous observability for Dirichlet B.C.

Consider the purely Dirichlet B.C. problem:

$$\begin{cases} iw_t + \Delta_g w = F(w) + f, & \text{in } Q, \\ w(0, x) = w_0(x), & \text{in } M, \\ w|_{\Sigma} = 0, & \text{in } \Sigma = [0, T] \times \Gamma. \end{cases} \quad (6)$$

**Theorem:[Triggiani-Xu '07]** Let  $w$  be a solution of (6) with I.C.  $w_0 \in H_0^1(M)$  and with  $f \in L_2(0, T; H^1(M))$ , under assumptions on  $F(w)$  and geometrical conditions on  $d(x)$  (here only need  $\nabla d \cdot \nu \leq 0$ , on  $\Gamma_0$ ). Then there exists a constant  $C_T > 0$ , the **continuous observability inequality** is true:

$$C_T E(0) \leq \int_0^T \int_{\Gamma_1} \left| \frac{\partial w}{\partial \nu} \right|^2 d\Gamma_1 dt + \|f\|_{L_2(0, T; H^1(M))}^2.$$

where  $C_T$  has explicit formula, useful for nonlinear problems. And  $C_T$  is of order  $Ce^{-CL^2}$ , where  $L$  is the appropriate norm of the coefficients  $P(t, x)$  and  $p_0(t, x)$ , for  $n \geq 3$ , one has

$$L = |p_0|_{L^\infty(M)} + |p_0|_{L^1(0, T; W^{1, n}(M))} + |P|_{L^\infty(0, T; W^{1, \infty}(M^n))}. \quad (7)$$

and analogously for  $n = 1, 2$ .

## Continuous observability for Neumann B.C.

Consider the purely Neumann B.C. problem:

$$\begin{cases} iw_t + \Delta_g w = F(w) + f, & \text{in } Q, \\ w(0, x) = w_0(x), & \text{in } M, \\ \frac{\partial w}{\partial \nu}|_{\Sigma} = 0, & \text{in } \Sigma = [0, T] \times \Gamma. \end{cases} \quad (8)$$

**Theorem:[Triggiani-Xu '07]** Let  $w$  be a solution of (8) with I.C.  $w_0 \in H^1(M)$  and with  $f \in L_2(0, T; H^1(M))$ , under assumptions on  $F(w)$  and geometrical conditions on  $d(x)$ . Then there exists a constant  $C_T > 0$ , the **continuous observability inequality** is true:

$$C_T E(0) \leq \int_0^T \int_{\Gamma_1} [ |w|^2 + |w_t|^2 ] d\Gamma_1 dt + \|f\|_{L_2(0, T; H^1(M))}^2.$$

where  $C_T$  has explicit formula as the purely Dirichlet B.C. case (7).

## The Euler-Bernoulli Equation

Consider the following Euler-Bernoulli plate problem:

$$\begin{cases} w_{tt} + \Delta_g^2 w = F(w, \nabla w, \Delta w) + f, & \text{in } Q = (0, T] \times M, \\ w(0, x) = w_0(x), \quad w_t(t, x) = w_1(x), & \text{in } M, \\ w|_{\Sigma} = 0, \quad \Delta w|_{\Sigma} = 0 & \text{in } \Sigma = [0, T] \times \Gamma. \end{cases} \quad (9)$$

Writing the E-B equation as an iteration of two Schrödinger equations:

$$w_{tt} + \Delta^2 w = (\Delta + i\partial_t)(\Delta - i\partial_t)w.$$

Setting  $v = iw_t - \Delta w$ , rewrite problem (9) as

$$\begin{cases} iv_t + \Delta v = F + f, & \text{in } Q = (0, T] \times M, \\ v(0, x) = iw_1(x) - \Delta w_0(x), & \text{in } M, \\ v|_{\Sigma} = 0, & \text{in } \Sigma = [0, T] \times \Gamma. \end{cases} \quad (10)$$

Setting  $E_w(t) = \int_M [|\nabla \Delta w(t)|^2 + |\nabla w_t(t)|^2] dx = E_v(t) = \int_M |\nabla v(t)|^2 dx$ .

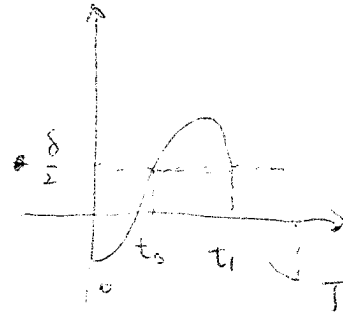
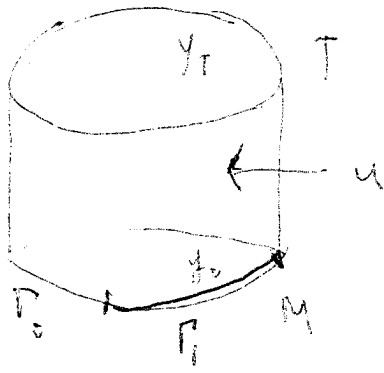
**Theorem:[Triggiani-Xu '07]** Let  $w$  be the solution of problem (9) with  $\{w_0, w_1\} \in H^3(M) \times H^1(M)$ . Let  $f \in L^2(0, T; H^1(M))$ . Let  $d(x)$  be the strictly convex function satisfying above geometric assumptions. Then there exists a constant  $C_T > 0$ , the **continuous observability inequality** holds true:

$$C_T E_w(0) \leq \int_0^T \int_{\Gamma_1} \left[ \left( \frac{\partial \Delta w}{\partial \nu} \right)^2 + \left( \frac{\partial w_t}{\partial \nu} \right)^2 \right] d\Gamma_1 dt + \|f\|_{L^2(0, T; H^1(M))}^2.$$

where  $C_T$  has explicit formula as the purely Dirichlet B.C. case (7).

Thanks!





$$\|F\|^2 \leq C (\|\nabla w\|^2 + \|w\|^{2p})$$

$$\int_{\Omega} |w|^{2p} \leq \int_{\Omega} |\nabla w|^2 + |w|^2$$

$$\int |F|^2 \leq \int_{\Omega} (|\nabla w|^2 + |w|^2)$$

Bourgain '97  $(i\partial_t + \Delta) u = F(u, \bar{u}, \nabla u, \nabla \bar{u})$   $\mathbb{R}^n \times \mathbb{R}$

$F$  poly  $\Rightarrow$  has no non-zero compact support sol

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$$\text{if } u \equiv 0 \text{ outside } \{|x| \leq 0\} \times \{0, 1\}$$

$$\Rightarrow u = 0$$