

## Harmonic Analysis and Additive Combinatorics

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**Key words:** harmonic analysis, discrete Fourier transform, arithmetic progressions, Freiman's theorem, Roth's theorem, Bohr sets, restriction estimates.

**Theorem 0.1** (Freiman).  $A \subseteq \mathbb{Z}$ ,  $|A + A| \leq K|A|$ . Then  $A$  is contained in a generalized arithmetic progression  $P$  such that  $|P| \leq C|A|$ ,  $\dim P \leq d$ .  $C, d$  depend only on  $K$ . (Can take  $d = O(K^2)$ ,  $c = e^{O(K^2)}$ .)

**Lemma 0.2** (Bogolyubov).  $A \subset \mathbb{Z}_N$ ,  $|A| = \delta N$ , let  $\Gamma = \{\xi \in \mathbb{Z}_N : |\widehat{A}(\xi)| \geq \frac{1}{2}\delta^{3/2}\}$ . Then  $2A - 2A = \{a + b - c - d : a, b, c, d \in A\}$  contains the Bohr set:

$$B = B(\Gamma, \frac{1}{10}) = \{x \in \mathbb{Z}_N : \left\| \frac{x\xi}{N} \right\| < \frac{1}{10} \text{ for all } \xi \in \Gamma\}.$$

Why is this useful?

- $B$  contains a large GAP (generalized AP)  $P_0$
- Use  $P_0$  to construct  $P$

**Proof of Lemma:**  $x \in 2A - 2A \Leftrightarrow$

$$\begin{aligned} & 0 < \#\{a, b, c, d \in A : a + b - c - d = x\} \\ &= \sum_{a, b, c, d} [A(a)A(b)A(c)A(d) - \frac{1}{N} \sum_{\xi \in \mathbb{Z}_N} e^{-2\pi i(a+b-c-d)\xi/N}] \\ &= N^3 \sum_{\xi \in \mathbb{Z}_N} |\widehat{A}(\xi)|^4 e^{-2\pi i x \xi / N} \\ &= N^3 \sum_{\xi \in \mathbb{Z}_N} |\widehat{A}(\xi)|^4 \cos(2\pi x \xi / N) \end{aligned}$$

**Want to prove:**

for all  $x \in B$

$$\begin{aligned} & 0 < N^3 \sum_{\xi \in \mathbb{Z}_N} |\widehat{A}(\xi)|^4 \cos(2\pi x \xi / N) \\ &= |\widehat{A}(0)|^4 + \sum_{\xi \in \Gamma, \xi \neq 0} |\widehat{A}(\xi)|^4 \cos(2\pi x \xi / N) + \sum_{\xi \notin \Gamma} |\widehat{A}(\xi)|^4 \cos(2\pi x \xi / N) \end{aligned}$$

$$|\widehat{A}(0)|^4 = \delta^4 \text{ (last time)}$$

$$\sum_{\xi \in \Gamma, \xi \neq 0} |\widehat{A}(\xi)|^4 \cos(2\pi x \xi / N) > 0$$

because  $2\pi x \xi / N \leq \frac{2\pi}{10}$  for  $x \in B$ ,  $\xi \in \Gamma$  and  $\cos(2\pi x \xi / N) > 0$ .

$$\left| \sum_{\xi \notin \Gamma} |\widehat{A}(\xi)|^4 \cos(2\pi x \xi / N) \right| \leq \max_{\xi \notin \Gamma} |\widehat{A}(\xi)|^2 \cdot \sum_{\xi} |\widehat{A}(\xi)|^2 \leq \frac{\delta^3}{4} \cdot \delta$$

Thus,

$$\left| \widehat{A}(0) \right|^4 + \sum_{\xi \in \Gamma, \xi \neq 0} \left| \widehat{A}(\xi) \right|^4 \cos(2\pi x \xi / N) + \sum_{\xi \notin \Gamma} \left| \widehat{A}(\xi) \right|^4 \cos(2\pi x \xi / N) \geq \delta^4 - \frac{\delta^4}{4} > 0.$$

□

**Roth's Theorem in Sparse Sets:** Assume  $A \subset \mathbb{Z}_N$ ,  $|A| \cong N^{1-\theta}$ ,  $\theta > 0$ .

Must  $A$  contain 3-AP's?

-In general, no.

-But: Suppose  $A \subset W$ ,  $|W| \cong N^{1-\theta}$ ,  $|\widehat{W}(\theta)| \leq N^{-10\theta}$ ,  $\theta$  close to zero. Then  $A$  contains 3-AP's if  $N$  is large enough.

**Kohayakawa-Luczak-Rödl, Green, Green-Tao, Tao-Vu**

*Proof (sketch):* Let  $f(x) = N^\theta A(x)$ . Then

$$\sum_x f(x) = N^\theta |A| = N^\theta \delta N^{1-\theta} = \delta N.$$

**Transference Principle:**  $f = f_1 + f_2$ ,  $0 \leq f_1 \leq K$  (indep. of  $N$ )

$f_2$  "random"  $|\widehat{f_2}(\xi)| \leq \epsilon$ ,  $\epsilon = \epsilon(\delta)$ .

**Define:**  $f_1, f_2: \Gamma = \{\xi \in \mathbb{Z}_N: |\widehat{f}(\xi)| > \epsilon\}$

$$B = B(\Gamma, \epsilon) = \{x \in \mathbb{Z}_N: \left\| \frac{x\xi}{N} \right\| < \epsilon \text{ for } \xi \in \Gamma\}$$

$$f_1(x) = \frac{1}{|B|^2} \sum_{y_1, y_2 \in B} f(x + y_1 - y_2), \quad f_2(x) = f - f_1$$

$$\begin{aligned} \frac{1}{N^2} \sum_{x, r} f(x) f(x+r) f(x+2r) &= \sum_{\xi} \widehat{f}(\xi)^2 \widehat{f}(-2\xi) \\ &= \sum_{\xi} \widehat{f_1}(\xi)^2 \widehat{f_1}(-2\xi) + \sum_{\xi} \widehat{f_2}(\xi)^2 \widehat{f_2}(-2\xi) + \dots \end{aligned}$$

The first term is bounded by below (Roth-Varnavides)

We have  $|\widehat{f_2}(\xi)|$  small. We want to use it to prove that the remaining terms above are small.

□

**Problem:**

$$\begin{aligned} \sum_{\xi} \left| \widehat{f}(\xi) \right|^2 &= \frac{1}{N} \sum |f(\xi)|^2 \\ &= \frac{1}{N} \sum_{x \in A} N^{2\theta} = \frac{1}{N} \delta N^{1-\theta} N^{2\theta} = \delta N^\theta \end{aligned}$$

which is BAD.

**Restriction Estimates:** Work in  $\mathbb{R}^d$ ,  $\sigma =$  Lebesgue measure on  $S^{d-1}$

$$f: S^{d-1} \rightarrow \mathbb{C}$$

$$\widehat{f \, d\sigma}(\xi) = \int f(x) e^{2\pi i \xi x} \, d\sigma(x)$$

Is  $f \mapsto \widehat{f \, d\sigma}$  bounded  $L^p(S^{d-1}) \rightarrow L^q(\mathbb{R}^d)$ ?

**Trivial:**  $L^1 \rightarrow L^\infty$  bdd.

**Stein-Tomas:**  $L^2 \rightarrow L^q$ ,  $q \geq \frac{2d+2}{d-1}$

**Conjecture (Stein):**  $L^\infty \rightarrow L^q$ ,  $q > \frac{2d}{d-1}$

**Number-theoretic analogues:** Bourgain, Green, Tao-Vu. Work in  $\mathbb{Z}_N$ , with  $\sigma$  replaced by

$$\frac{1}{|W|} \sum_{x \in W} \delta_x$$

then for  $f$  as above,  $\|\widehat{f}\|_q \leq M$  independently of  $N$  for some  $2 < q < 3$ .

We use this to estimate the error terms, e.g.

$$\left| \sum_{\xi} \widehat{f_2}(\xi)^2 \widehat{f_2}(-2\xi) \right| \leq \max_{\xi} \left| \widehat{f_2}(\xi) \right|^{3-q} \cdot \|\widehat{f_2}\|_q^q \leq M^q \max_{\xi} \left| \widehat{f_2}(\xi) \right|^{3-q}$$