

# The Atiyah-Singer Index Theorem II

Paul Loya

# Where we ended last time

Let  $M$  be an oriented, compact, even-dim. Riemannian manifold without boundary.

- Let  $E$  and  $F$  be Hermitian vector bundles on  $M$  and let

$$L : C^\infty(M, E) \rightarrow C^\infty(M, F)$$

be a “Gauss-Bonnet type operator” (technically called a **Dirac operator**).

- (**Atiyah-Singer Index Theorem, 1963**)  $L$  is Fredholm and the following index formula holds:

$\text{ind } L$	$\equiv$	$\int_M K_{AS},$
<b>analytical</b>		<b>geometrical</b>

where  $K_{AS}$  is an **explicitly** defined polynomial in the curvatures of  $M$ ,  $E$ , and  $F$ .

# Questions

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- Last lecture we talked about a “poor man’s” Gauss-Bonnet operator, but a . . .

“poor man’s \_\_\_\_\_” is a cheaper, simpler version of \_\_\_\_\_.

So, what is the true Gauss-Bonnet operator?

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- What is a Dirac operator?

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So, what is the true Gauss-Bonnet operator?

- What is a Dirac operator?
- What is  $K_{AS}$ ?

# Outline of talk

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## I. Review of differential operators

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- I. Review of differential operators
- II. Review of the principal symbol and ellipticity

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- IV. The true Gauss-Bonnet operator

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- I. Review of differential operators
- II. Review of the principal symbol and ellipticity
- III. Dirac operators
- IV. The true Gauss-Bonnet operator
- V. The term  $K_{AS}$

# I. Review of differential operators

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## Preview of Part I

- A **(linear) differential operator** is a linear map given by taking linear combinations of partial derivatives and multiplying by smooth functions.
- The poor man's Gauss Bonnet operator is a first order differential operator.

# I. Review of differential operators

**Ex 1.**  $L : C^\infty(\mathbb{R}^2) \rightarrow C^\infty(\mathbb{R}^2)$  is the **Laplacian** or **Laplace operator**:

$$L = \Delta = -\partial_x^2 - \partial_y^2;$$

$$\Delta f = -\partial_x^2 f - \partial_y^2 f.$$

$\Delta$  is an example of a **second order operator**.

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$$\Delta f = -\partial_x^2 f - \partial_y^2 f.$$

$\Delta$  is an example of a **second order operator**.

**Ex 2.**  $L : C^\infty(\mathbb{R}^2) \rightarrow C^\infty(\mathbb{R}^2)$

$$L = -\partial_x^2 - \partial_y^2 + 5\partial_x - x^2\partial_y + 10e^{-x-y}.$$

Another second order operator.

# I. Review of differential operators

**Ex 3.** The **Cauchy-Riemann operator** is the operator  $L : C^\infty(\mathbb{R}^2) \rightarrow C^\infty(\mathbb{R}^2)$  defined by

$$D_{CR} = \partial_x + i\partial_y.$$

$D_{CR}$  is an example of a **first order operator**. This operator is the fundamental operator of complex analysis!

# I. Review of differential operators

**Ex 3.** The **Cauchy-Riemann operator** is the operator  $L : C^\infty(\mathbb{R}^2) \rightarrow C^\infty(\mathbb{R}^2)$  defined by

$$D_{CR} = \partial_x + i\partial_y.$$

$D_{CR}$  is an example of a **first order operator**. This operator is the fundamental operator of complex analysis!

**Ex 4.** Another first order operator is  $L : C^\infty(\mathbb{R}^2) \rightarrow C^\infty(\mathbb{R}^2)$  defined by

$$L = \partial_x + i\partial_y + 2 \sin(x^2 + y^2).$$

# I. Review of differential operators

**Ex 5.** Recall that the **poor man's Gauss-Bonnet operator** is the operator

$$L_{GB} : C^\infty(M, TM) \rightarrow C^\infty(M, \mathbb{R}^2)$$

defined by

$$L_{GB}(v) = (-\operatorname{curl} v, \operatorname{div} v)$$

where  $v$  is a vector field on  $M$ .



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**Ex 5.** Recall that the **poor man's Gauss-Bonnet operator** is the operator

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$$L_{GB}(v) = (-\operatorname{curl} v, \operatorname{div} v)$$

where  $v$  is a vector field on  $M$ .

**Let**  $M = \mathbb{R}^2$ . Given a vector field  $v = f\vec{i} + g\vec{j}$  on  $\mathbb{R}^2$ ,

$$\operatorname{curl} v = (\partial_x g - \partial_y f)\vec{k}$$

$$\operatorname{div} v = \partial_x f + \partial_y g.$$

# I. Review of differential operators

Therefore,

$$L_{GB}(f\vec{i} + g\vec{j}) = (\partial_y f - \partial_x g, \partial_x f + \partial_y g).$$

We can also write  $L_{GB}$  as a matrix:

$$L_{GB} \begin{pmatrix} f \\ g \end{pmatrix} = \begin{pmatrix} \partial_y & -\partial_x \\ \partial_x & \partial_y \end{pmatrix} \begin{pmatrix} f \\ g \end{pmatrix}.$$

Therefore, the poor man's Gauss-Bonnet operator is a first order differential operator.

In general, a differential operator  $L$  is of  **$m$ -th order** if each term of  $L$  involves at most  $m$  differentiations.

# I. Review of differential operators

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## Summary of Part I

- A **differential operator** is a linear map given by taking linear combinations of partial derivatives and multiplying by smooth functions.
- The Laplacian is a second order differential operator
- The Cauchy-Riemann operator and the poor man's Gauss Bonnet operator are first order differential operators.

# II. Principal symbol and ellipticity

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## Preview of Part II

- Principal = first or of highest importance, rank, worth.
- The principal symbol of a differential operator is a (matrix of) *polynomials* determined by the “most important” part of the operator.
- A differential operator is elliptic if its principal symbol is invertible.

## II. Principal symbol and ellipticity

- Principal symbol.

**Ex 2 con't:** Consider the operator:

$$L = -\partial_x^2 - \partial_y^2 + 5\partial_x - x^2\partial_y + 10e^{-x-y}.$$

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**Ex 2 con't:** Consider the operator:

$$L = -\partial_x^2 - \partial_y^2 + 5\partial_x - x^2\partial_y + 10e^{-x-y}.$$

The principal symbol of  $L$  is

$$\begin{aligned}\sigma(L)(\xi_1, \xi_2) &= -(i\xi_1)^2 - (i\xi_2)^2 \\ &= \xi_1^2 + \xi_2^2 \\ &= |\xi|^2 \quad (\text{where } \xi = (\xi_1, \xi_2)) \\ &= \text{squared length of } \xi.\end{aligned}$$

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**Ex 4 con't:** Consider the operator

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The principal symbol of  $L$  is

$$\begin{aligned} \sigma(L)(\xi_1, \xi_2) &= i\xi_1 + i(i\xi_2) \\ &= i\xi_1 - \xi_2. \end{aligned}$$

## II. Principal symbol and ellipticity

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$$\begin{aligned}\sigma(L)(\xi_1, \xi_2) &= i\xi_1 + i(i\xi_2) \\ &= i\xi_1 - \xi_2.\end{aligned}$$

**Ex 3 con't:** For the Cauchy-Riemann operator

$D_{CR} = \partial_x + i\partial_y$ , we have

$$\sigma(D_{CR})(\xi_1, \xi_2) = i\xi_1 - \xi_2.$$

## II. Principal symbol and ellipticity

- Principal symbol.

**Ex 5 con't:** Consider the poor man's Gauss-Bonnet operator (written as a matrix)

$$L_{GB} = \begin{pmatrix} \partial_y & -\partial_x \\ \partial_x & \partial_y \end{pmatrix}.$$

## II. Principal symbol and ellipticity

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**Ex 5 con't:** Consider the poor man's Gauss-Bonnet operator (written as a matrix)

$$L_{GB} = \begin{pmatrix} \partial_y & -\partial_x \\ \partial_x & \partial_y \end{pmatrix}.$$

The principal symbol of  $L$  is

$$\sigma(L_{GB})(\xi_1, \xi_2) = \begin{pmatrix} i\xi_2 & -i\xi_1 \\ i\xi_1 & i\xi_2 \end{pmatrix}.$$

## II. Principal symbol and ellipticity

- Principal symbol.

Let  $L$  be an  $m$ -th differential operator and let  $x_1, x_2, \dots, x_n$  be the variables it differentiates with respect to.

In the terms of  $L$  containing  $m$  partial derivatives, replace

$$\partial_{x_1} \text{ by } i\xi_1, \quad \partial_{x_2} \text{ by } i\xi_2, \quad \dots, \quad \partial_{x_n} \text{ by } i\xi_n.$$

The resulting function of the real variables  $\xi_1, \dots, \xi_n$  is called the **principal symbol** of  $L$ :

$$\sigma(L)(\xi_1, \dots, \xi_n) \quad \text{or} \quad \sigma(L)(\xi),$$

where  $\xi = (\xi_1, \dots, \xi_n)$ .

## II. Principal symbol and ellipticity

- Ellipticity.

Recall that

$$\sigma(\Delta)(\xi) = |\xi|^2.$$

For  $\xi \neq 0$ ; that is,  $\xi = (\xi_1, \xi_2) \neq (0, 0)$ ,

$$\sigma(\Delta)(\xi)^{-1}$$

is defined.

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For  $\xi \neq 0$ ; that is,  $\xi = (\xi_1, \xi_2) \neq (0, 0)$ ,

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is defined.

Similarly, for  $\xi \neq 0$

$$\sigma(D_{CR})(\xi) = i\xi_1 - \xi_2$$

is invertible.



## II. Principal symbol and ellipticity

- Ellipticity.

The poor man's Gauss-Bonnet operator,

$$\sigma(L_{GB})(\xi) = \begin{pmatrix} i\xi_2 & -i\xi_1 \\ i\xi_1 & i\xi_2 \end{pmatrix},$$

also has the same property:

For  $\xi \neq 0$ ,  $\sigma(L_{GB})(\xi)$  is an invertible matrix.

(Notice that  $\det \sigma(L_{GB})(\xi) = -\xi_2^2 - \xi_1^2 = -|\xi|^2$ .)

## II. Principal symbol and ellipticity

- Ellipticity.

A differential operator  $L$  is **elliptic** if for  $\xi \neq 0$ , the principal symbol  $\sigma(L)(\xi)$  is invertible.

Thus,  $\Delta$ ,  $D_{CR}$ , and  $L_{GB}$  are elliptic.

## II. Principal symbol and ellipticity

- Ellipticity.

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Thus,  $\Delta$ ,  $D_{CR}$ , and  $L_{GB}$  are elliptic.

Most operators are not elliptic! E.g.

$$L = \partial_x^2 - \partial_y + 10.$$

We have  $\sigma(L)(\xi_1, \xi_2) = -(i\xi_1)^2 = \xi_1^2$ . Then  $\xi = (0, 1) \neq 0$ , but

$$\sigma(L)(\xi) = 0 \text{ is not invertible.}$$

# II. Principal symbol and ellipticity

## Summary of Part II

- Examples:  $\sigma(\Delta)(\xi) = |\xi|^2$ ,  $\sigma(D_{CR})(\xi) = i\xi_1 - \xi_2$ , and

$$\sigma(L_{GB})(\xi) = \begin{pmatrix} i\xi_2 & -i\xi_1 \\ i\xi_1 & i\xi_2 \end{pmatrix}$$

- Significance: Laplacians involve geometry. What is the significance of the last two examples?
- The three operators above are elliptic.
- Can also define differential operators, principal symbols, and ellipticity when manifolds and vector bundles are involved.

# III. Dirac operators

## Preview of Part III

- Recall the Laplacian is a second order operator such that

$$\sigma(\Delta)(\xi) = |\xi|^2.$$

Thus,  $\Delta$  captures geometry.

- A Dirac operator is a first order operator whose principal symbol “squared” is  $|\xi|^2$ .

# III. Dirac operators

**Ex.** For  $D_{CR} = \partial_x + i\partial_y$ , we have

$$\sigma(D_{CR})(\xi) = i\xi_1 - \xi_2,$$

so

$$\begin{aligned}\overline{\sigma(D_{CR})(\xi)} \sigma(D_{CR})(\xi) &= \overline{(i\xi_1 - \xi_2)}(i\xi_1 - \xi_2) \\ &= (-i\xi_1 - \xi_2)(i\xi_1 - \xi_2) \\ &= \xi_1^2 + \xi_2^2 \\ &= |\xi|^2.\end{aligned}$$

Hence we can obtain lengths (geometry) by conjugating and then multiplying!

# III. Dirac operators

**Ex.** For the poor man's Gauss-Bonnet operator, we have

$$\sigma(L_{GB})(\xi) = \begin{pmatrix} i\xi_2 & -i\xi_1 \\ i\xi_1 & i\xi_2 \end{pmatrix}.$$

### III. Dirac operators

**Ex.** For the poor man's Gauss-Bonnet operator, we have

$$\sigma(L_{GB})(\xi) = \begin{pmatrix} i\xi_2 & -i\xi_1 \\ i\xi_1 & i\xi_2 \end{pmatrix}.$$

Therefore,

$$\begin{aligned} \sigma(L_{GB})(\xi)^* \sigma(L_{GB})(\xi) &= \begin{pmatrix} -i\xi_2 & -i\xi_1 \\ i\xi_1 & -i\xi_2 \end{pmatrix} \begin{pmatrix} i\xi_2 & -i\xi_1 \\ i\xi_1 & i\xi_2 \end{pmatrix} \\ &= \begin{pmatrix} \xi_1^2 + \xi_2^2 & 0 \\ 0 & \xi_1^2 + \xi_2^2 \end{pmatrix} \\ &= |\xi|^2. \end{aligned}$$



# III. Dirac operators

**Definition:** A first order differential operator  $L$  is called a **(generalized) Dirac operator** if  $L$  is elliptic and

$$\sigma(L)(\xi)^* \sigma(L)(\xi) = |\xi|^2.$$

Therefore,  $D_{CR}$  and  $L_{GB}$  are Dirac operators.

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Therefore,  $D_{CR}$  and  $L_{GB}$  are Dirac operators.

- Recall

$$\sigma(\Delta)(\xi) = |\xi|^2.$$

Thus, we can think of a Dirac operator as an operator such that when you square it (really, the principal symbol), you get the (principal symbol of the) Laplacian.

Hence, a Dirac operator is a type of “square root” of a Laplacian.

# III. Dirac operators

- Dirac operators can be defined when Riemannian manifolds and Hermitian vector bundles are involved: as a first order differential operator  $L$  that is elliptic and

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- *Now we understand the hypothesis of Atiyah-Singer!*

“Let  $E$  and  $F$  be Hermitian vector bundles on  $M$  and let

$$L : C^\infty(M, E) \rightarrow C^\infty(M, F)$$

be a “Gauss-Bonnet type operator” (technically called a **Dirac operator**).”

# III. Dirac operators

## Summary of Part III

- A Dirac operator is a first order differential operator whose principal symbol “squared” is the symbol of the Laplacian.
- Like Laplacians, Dirac operators capture the geometry of the manifold.
- Advantage of Dirac operators: They are first order instead of second order. (Hence are simpler “in principle.”)

# IV. The true Gauss-Bonnet operator

## Preview of Part IV

- Differential forms are objects you integrate (in line and area integrals).
- The exterior derivative  $d$  is just the gradient and curl “all-in-one”.
- The Gauss-Bonnet operator is  $D_{GB} = d + d^*$ .

# IV. The true Gauss-Bonnet operator

- Differential forms. (Focus on  $\mathbb{R}^2$ .)

$$C^\infty(\mathbb{R}^2, \Lambda^0) = C^\infty(\mathbb{R}^2) = \text{0-forms}$$

$$C^\infty(\mathbb{R}^2, \Lambda^1) = \text{1-forms} \quad f dx + g dy$$

$$C^\infty(\mathbb{R}^2, \Lambda^2) = \text{2-forms} \quad f dx \wedge dy$$

There are no 3-forms on  $\mathbb{R}^2$ .

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There are no 3-forms on  $\mathbb{R}^2$ .

Think of

$$dx \longleftrightarrow \vec{i}, \quad dy \longleftrightarrow \vec{j}, \quad dx \wedge dy \longleftrightarrow \vec{k}.$$

**Remark:** 1-forms are objects usually found in line integrals and 2-forms are found in area integrals.



# IV. The true Gauss-Bonnet operator

- The wedge.

The “wedge”  $\wedge$  has the defining “cross product” property

$$\alpha \wedge \beta = -\beta \wedge \alpha$$

for any 1-forms  $\alpha$  and  $\beta$ . ( cf.  $v \times w = -w \times v$  . )

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**Ex.**

$$dx \wedge dy = -dy \wedge dx. \quad (\text{cf. } \vec{i} \times \vec{j} = -\vec{j} \times \vec{i}).$$

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**Ex.**  $dx \wedge dy = -dy \wedge dx$ . (cf.  $\vec{i} \times \vec{j} = -\vec{j} \times \vec{i}$ ).

**Ex.**  $\alpha \wedge \alpha = -\alpha \wedge \alpha$ .

Therefore,  $\alpha \wedge \alpha = 0$ . In particular,

$$dx \wedge dx = 0 \quad \text{and} \quad dy \wedge dy = 0. \quad (\text{cf. } \vec{i} \times \vec{i} = 0).$$

# IV. The true Gauss-Bonnet operator

## The exterior derivative

$$d : C^\infty(\mathbb{R}^2, \Lambda^k) \rightarrow C^\infty(\mathbb{R}^2, \Lambda^{k+1})$$

is the differential operator

$$d = \partial_x dx + \partial_y dy$$

acting componentwise. (cf.  $\nabla = \partial_x \vec{i} + \partial_y \vec{j}$ .)

# IV. The true Gauss-Bonnet operator

## The exterior derivative

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is the differential operator

$$d = \partial_x dx + \partial_y dy$$

acting componentwise. (cf.  $\nabla = \partial_x \vec{i} + \partial_y \vec{j}$ .)

**Note:**  $d$  really consists of three maps

$$C^\infty(\mathbb{R}^2, \Lambda^0) \xrightarrow{d} C^\infty(\mathbb{R}^2, \Lambda^1) \xrightarrow{d} C^\infty(\mathbb{R}^2, \Lambda^2) \xrightarrow{d} 0.$$

( $d = 0$  on 2-forms since there are no 3-forms.)

# IV. The true Gauss-Bonnet operator

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0-forms:

$$d : C^\infty(\mathbb{R}^2, \Lambda^0) \rightarrow C^\infty(\mathbb{R}^2, \Lambda^1).$$

For  $f \in C^\infty(\mathbb{R}^2, \Lambda^0) = C^\infty(\mathbb{R}^2)$ ,

$$df = \partial_x f dx + \partial_y f dy.$$

(cf.  $\nabla f = \partial_x f \vec{i} + \partial_y f \vec{j}$ .) Thus,

$d =$  gradient on 0-forms.

# IV. The true Gauss-Bonnet operator

**1-forms:**

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$$d(f dx + g dy) = df \wedge dx + dg \wedge dy$$

# IV. The true Gauss-Bonnet operator

## 1-forms:

$$\begin{aligned}d(f dx + g dy) &= df \wedge dx + dg \wedge dy \\&= (\partial_x f dx + \partial_y f dy) \wedge dx + (\partial_x g dx + \partial_y g dy) \wedge dy \\&= \partial_y f dy \wedge dx + \partial_x g dx \wedge dy \\&= -\partial_y f dx \wedge dy + \partial_x g dx \wedge dy \\&= (\partial_x g - \partial_y f) dx \wedge dy.\end{aligned}$$



# IV. The true Gauss-Bonnet operator

## 1-forms:

$$\begin{aligned}d(f dx + g dy) &= df \wedge dx + dg \wedge dy \\&= (\partial_x f dx + \partial_y f dy) \wedge dx + (\partial_x g dx + \partial_y g dy) \wedge dy \\&= \partial_y f dy \wedge dx + \partial_x g dx \wedge dy \\&= -\partial_y f dx \wedge dy + \partial_x g dx \wedge dy \\&= (\partial_x g - \partial_y f) dx \wedge dy.\end{aligned}$$

(cf.  $\text{curl}(f \vec{i} + g \vec{j}) = (\partial_x g - \partial_y f) \vec{k}$ .) Thus,

$d = \text{curl}$  on 1-forms.

## IV. The true Gauss-Bonnet operator

- Adjoint: If  $L$  is an  $m \times n$  matrix, we have

$$L : \mathbb{R}^n \rightarrow \mathbb{R}^m.$$

The adjoint (conjugate transpose)  $L^*$  is an  $n \times m$  matrix,  
so

$$L^* : \mathbb{R}^m \rightarrow \mathbb{R}^n.$$

Taking the adjoint switches the domain and codomain.

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Taking the adjoint switches the domain and codomain.

Recall

$$C^\infty(\mathbb{R}^2, \Lambda^0) \xrightarrow{d} C^\infty(\mathbb{R}^2, \Lambda^1) \xrightarrow{d} C^\infty(\mathbb{R}^2, \Lambda^2).$$

There is an adjoint

$$C^\infty(\mathbb{R}^2, \Lambda^2) \xrightarrow{d^*} C^\infty(\mathbb{R}^2, \Lambda^1) \xrightarrow{d^*} C^\infty(\mathbb{R}^2, \Lambda^0).$$

# IV. The true Gauss-Bonnet operator

- What is  $d^*$ ?

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- What is  $d^*$ ?

**2-forms:**  $d^* : C^\infty(\mathbb{R}^2, \Lambda^2) \xrightarrow{d^*} C^\infty(\mathbb{R}^2, \Lambda^1):$

$$d^*(f dx \wedge dy) = \partial_y f dx - \partial_x f dy.$$

# IV. The true Gauss-Bonnet operator

- What is  $d^*$ ?

**2-forms:**  $d^* : C^\infty(\mathbb{R}^2, \Lambda^2) \xrightarrow{d^*} C^\infty(\mathbb{R}^2, \Lambda^1):$

$$d^*(f \, dx \wedge dy) = \partial_y f \, dx - \partial_x f \, dy.$$

**Note:**

$$\text{curl}(f \vec{k}) = \partial_y f \vec{i} - \partial_x f \vec{j}.$$

Therefore,

$$d^* = \text{curl} \text{ on 2-forms.}$$

# IV. The true Gauss-Bonnet operator

- What is  $d^*$ ?

**1-forms:**  $d^* : C^\infty(\mathbb{R}^2, \Lambda^1) \xrightarrow{d^*} C^\infty(\mathbb{R}^2, \Lambda^0):$

$$d^*(f dx + g dy) = -(\partial_x f + \partial_y g).$$

# IV. The true Gauss-Bonnet operator

- What is  $d^*$ ?

**1-forms:**  $d^* : C^\infty(\mathbb{R}^2, \Lambda^1) \xrightarrow{d^*} C^\infty(\mathbb{R}^2, \Lambda^0)$ :

$$d^*(f dx + g dy) = -(\partial_x f + \partial_y g).$$

**Note:**

$$\operatorname{div}(f \vec{i} + g \vec{j}) = \partial_x f + \partial_y g.$$

Therefore,

$$d^* = -\operatorname{div} \text{ on 1-forms.}$$



# IV. The true Gauss-Bonnet operator

- The true Gauss-Bonnet operator. Recall

$$d : C^\infty(\mathbb{R}^2, \Lambda^k) \rightarrow C^\infty(\mathbb{R}^2, \Lambda^{k+1}).$$

$$d^* : C^\infty(\mathbb{R}^2, \Lambda^{k+1}) \rightarrow C^\infty(\mathbb{R}^2, \Lambda^k).$$

# IV. The true Gauss-Bonnet operator

- The true Gauss-Bonnet operator. Recall

$$d : C^\infty(\mathbb{R}^2, \Lambda^k) \rightarrow C^\infty(\mathbb{R}^2, \Lambda^{k+1}).$$

$$d^* : C^\infty(\mathbb{R}^2, \Lambda^{k+1}) \rightarrow C^\infty(\mathbb{R}^2, \Lambda^k).$$

- $C^\infty(\mathbb{R}^2, \Lambda^{ev})$  = linear combination of 0 and 2 forms
- $C^\infty(\mathbb{R}^2, \Lambda^{odd}) = C^\infty(\mathbb{R}^2, \Lambda^1) = 1$ -forms. Then,

$$D_{GB} = d + d^* : C^\infty(\mathbb{R}^2, \Lambda^{ev}) \rightarrow C^\infty(\mathbb{R}^2, \Lambda^{odd})$$

is called the **THE Gauss-Bonnet operator**.

# IV. The true Gauss-Bonnet operator

- Exercises:

- 1) Check that  $D_{GB}$  is a Dirac operator.
- 2) How is the poor man's Gauss-Bonnet operator related to  $d$  and  $d^*$ ?

# IV. The true Gauss-Bonnet operator

- Exercises:

- 1) Check that  $D_{GB}$  is a Dirac operator.
- 2) How is the poor man's Gauss-Bonnet operator related to  $d$  and  $d^*$ ?

- Given any Riemannian manifold  $M$ , we can define differential forms,  $d$ , and  $d^*$ . Let

$C^\infty(M, \Lambda^{ev}) = \text{even forms}$  ,  $C^\infty(M, \Lambda^{odd}) = \text{odd forms}$ .

Then,

$$D_{GB} = d + d^* : C^\infty(M, \Lambda^{ev}) \rightarrow C^\infty(M, \Lambda^{odd})$$

is called **THE Gauss-Bonnet operator**.  $D_{GB}$  is a Dirac operator.

# IV. The true Gauss-Bonnet operator

## Notes:

- The operator

$$\Delta := (d + d^*)^2$$

is called the **Laplacian** or **Laplace operator**.

- By definition,  $d + d^*$  is a square root of the Laplacian.
- $d + d^*$  and  $\Delta$  are important in “Hodge theory,” a subject which relates the kernels and cokernels of these operators to the topology of the manifold. In particular,

$$\text{ind } D_{GB} = \chi(M).$$

# IV. The true Gauss-Bonnet operator

## Summary of Part IV

- Differential forms are objects you integrate.
- The exterior derivative  $d$  is the gradient and curl “all-in-one”.
- The adjoint  $d^*$  is the curl and divergence “all-in-one”.
- The Gauss-Bonnet operator is the Dirac operator

$$D_{GB} = d + d^* : C^\infty(M, \Lambda^{ev}) \rightarrow C^\infty(M, \Lambda^{odd}).$$

# V. The integrand $K_{AS}$

## Preview of Part V

- (One of the) most beautiful formulas in the world:

$$\text{ind } L = \frac{1}{(4\pi i)^m} \int_M \sqrt{\det \left( \frac{K/2}{\sinh(K/2)} \right)} \text{STr} \left( e^{K_E + K_F + \frac{1}{4}K} \right).$$

# V. The integrand $K_{AS}$

Data:

---

- Let  $M$  be an oriented, compact, even-dim. Riemannian manifold and let  $E$  and  $F$  be Hermitian vector bundles on  $M$ .
- Let  $K =$  curvature of  $M$ ,  $K_E =$  curvature of  $E$ ,  $K_F =$  curvature of  $F$ .
- Let  $L : C^\infty(M, E) \rightarrow C^\infty(M, F)$  be a Dirac operator.



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**Atiyah-Singer:**  $L$  is Fredholm and

$$\text{ind } L = \int_M K_{AS},$$

where  $K_{AS}$  is an **explicitly** defined polynomial in  $K$ ,  $K_E$ ,  $K_F$ .

## V. The integrand $K_{AS}$

- $\hat{A}$ -genus of  $M$ :

$$\sqrt{\det \left( \frac{K/2}{\sinh(K/2)} \right)}.$$

You can actually make sense of this.

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where “STr” is called a “super trace”.

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- $$K_{AS} = \frac{1}{(4\pi i)^m} \sqrt{\det \left( \frac{K/2}{\sinh(K/2)} \right)} \text{STr} \left( e^{K_E + K_F + \frac{1}{4}K} \right),$$

where  $\dim M = 2m$ .

## V. The integrand $K_{AS}$

The **Atiyah-Singer theorem** in all its glory: Given

- An oriented, compact, even dimensional (say  $2m$ ) Riemannian manifold  $M$
- Hermitian vector bundles  $E$  and  $F$  over  $M$
- Dirac operator  $L : C^\infty(M, E) \rightarrow C^\infty(M, F)$ .

Then,

$$\text{ind } L = \frac{1}{(4\pi i)^m} \int_M \sqrt{\det \left( \frac{K/2}{\sinh(K/2)} \right)} \text{STr} \left( e^{K_E + K_F + \frac{1}{4}K} \right).$$

## V. The integrand $K_{AS}$

**Ex.** Consider the Gauss-Bonnet operator:

$$D_{GB} : C^\infty(M, \Lambda^{ev}) \rightarrow C^\infty(M, \Lambda^{odd}).$$

Recall that (via “Hodge theory”)  $\text{ind } D_{GB} = \chi(M)$ .

One can work out that

$$K_{AS} = \frac{1}{(2\pi)^m} \frac{(-K)^m}{m!} = \frac{1}{(2\pi)^m} \text{Pf}(-K),$$

where  $\text{Pf}(-K)$  is called the Pfaffian of  $M$ .

**$\therefore$  Gauss-Bonnet-Chern theorem:**

$$\chi(M) = \frac{1}{(2\pi)^m} \int_M \text{Pf}(-K).$$

# Summary of Talk

**Question:** What is the true Gauss-Bonnet operator?

**Answer:** The operator  $d + d^*$  acting on even forms.  $d$  is the exterior derivative (= gradient and curl “all-in-one”) and  $d^*$  is the adjoint of  $d$ .

**Question:** What is a Dirac operator?

**Answer:** Basically a “square root” of a Laplacian.

**Question:** What is  $K_{AS}$ ?

**Answer:** So beautiful, the AS thm. has to be repeated:

$$\text{ind } L = \frac{1}{(4\pi i)^m} \int_M \sqrt{\det \left( \frac{K/2}{\sinh(K/2)} \right)} \text{STr} \left( e^{K_E + K_F + \frac{1}{4}K} \right).$$

**WAIT!**

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**Isn't this supposed to be a  
conference on SINGULAR  
analysis?**

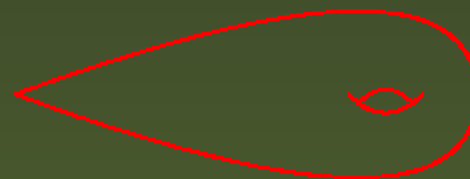
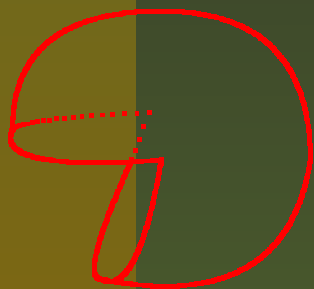


# A-S on singular manifolds

We know that for a *smooth* manifold  $M$  without boundary,

$$\text{ind } L = \int_M K_{AS}.$$

What about SINGULAR manifolds like



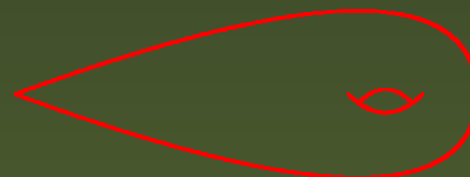
What is the A-S theorem for such manifolds?

# A-S on singular manifolds

We know that for a *smooth* manifold  $M$  without boundary,

$$\text{ind } L = \int_M K_{AS}.$$

What about SINGULAR manifolds like



What is the A-S theorem for such manifolds?

**Answer:** Next week!

# An advertisement

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We'll talk about

- 1) Index theorems on singular manifolds.
- 2) The proof of the A-S theorem: pseudodifferential operators and the heat kernel.
- 3) The proof of the A-S theorem for a singular manifold: “Exotic” pseudodifferential operators and the heat kernel.

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- 3) The proof of the A-S theorem for a singular manifold: “Exotic” pseudodifferential operators and the heat kernel.

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