

Notes on Birkar-Cascini-Hacon-McKernan

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**Assumption:** We proved flip in dimension  $n$ ,  
using minimal models in dimension  $n - 1$ .

**Main Theorem:** Minimal models in dim.  $n$ .

**Restriction:**  $\Delta$  is a big  $\mathbb{R}$ -divisor and  
 $K + \Delta$  is (pseudo) effective.

**Corollary 1.**  $\Delta$  is a big  $\mathbb{Q}$ -divisor,  $(X, \Delta)$  is klt  
and  $K + \Delta$  is effective. Then the canonical ring  
 $\sum_{m \geq 0} H^0(X, \mathcal{O}_X(mK_X + \lfloor m\Delta \rfloor))$  is finitely gen.

Proof. Get minimal model for  $(X, \Delta)$ , then use  
base point freeness.  $\square$

**Corollary 2.**  $X$  smooth, projective and  
 $K_X$  is big. Then the canonical ring  
 $\sum_{m \geq 0} H^0(X, \mathcal{O}_X(mK_X))$  is finitely generated.

Proof. Pick some effective  $D \sim_{\mathbb{Q}} mK_X$ . Then  
 $(X, \epsilon D)$  is klt (even terminal) for  $0 < \epsilon \ll 1$  and  
 $\epsilon D$  is big. So  $(X, \epsilon D)$  has a minimal model. It is  
automatically a minimal model for  $X$ .  $\square$

**Corollary 3.**  *$X$  smooth, projective. Then the canonical ring*

$$\sum_{m \geq 0} H^0(X, \mathcal{O}_X(mK_X)) \quad \text{is finitely generated.}$$

Proof. As in Kodaira's canonical bundle formula for elliptic surfaces, Fujino-Mori reduces the ring to a general type situation in lower dimension.  $\square$

**Corollary 4.** *Let  $X$  be a Fano variety. Then the Cox ring*

$$\sum_{D \in \text{Pic}(X)} H^0(X, \mathcal{O}_X(D)) \quad \text{is finitely generated.}$$

Proof. See original.  $\square$

**Corollary 5.** *If  $K + \Delta$  not pseudo effective, then  $\exists X \dashrightarrow X'$  and a Mori fiber space  $X' \rightarrow Z'$ .*

Proof. See original.  $\square$

## Comparison of 3 MMP's

$$\begin{array}{ccc} \text{Start} & & \text{Goal} \\ (X, \Delta) & \dashrightarrow & (X, \Delta)^{min} \end{array}$$

**Mori-MMP** (libertarian): Pick **any** extremal ray, contract/flip as needed. Eventually we get  $(X, \Delta)^{min}$ .

**MMP with scaling** (dictatorial): Fix  $H$  and  $t_0 > 0$  such that  $K + \Delta + t_0 H$  is nef.

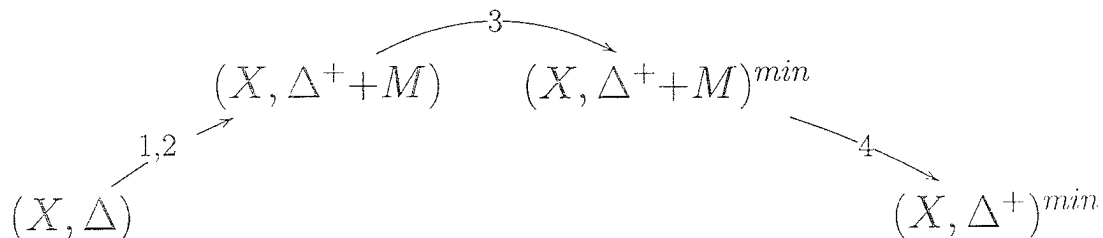
Let  $t \rightarrow 0$ . Critical value:  $\exists$  extremal ray  $R_1$  st.  $K + \Delta + t_1 H$  is nef but

$$R_1 \cdot (K + \Delta + (t_1 - \eta)H) < 0 \text{ for } \eta > 0.$$

Contract/flip this  $R_1$ .

### Roundabout MMP of BCHM

1. Increase  $\Delta$  in a mild manner to  $\Delta^+$ .
2. Increase  $\Delta^+$  wildly to  $\Delta^+ + M$ .
3. Construct  $(X, \Delta^+ + M)^{min}$ .
4. Remove the excess  $M$  to get  $(X, \Delta^+)^{min}$ .
5. Note that  $(X, \Delta^+)^{min}$  is also  $(X, \Delta)^{min}$ .



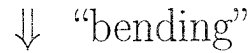
“bending it like BCHM”

## Spiraling induction

MMP with scaling in dim.  $n - 1$



Termination with scaling in dim.  $n$  near  $[\Delta]$



Existence of  $(X, \Delta)^{min}$  in dim.  $n$



Finiteness of  $(X, \Delta + \sum t_i D_i)^{min}$  in dim.  $n$  for  $0 \leq t_i \leq 1$



MMP with scaling in dim.  $n$

Note: Finiteness can fail if  $\Delta$  is not big.

Side issues:

1.  $\Delta$  klt/dlt/lc
2.  $K + \Delta$  limit of effective  $\Rightarrow$  effective.

## MMP with scaling

1. Start:  $K + \Delta + t_0 H$  nef,  $t_0 > 0$ .
2. Set  $t = t_0$  and decrease it.
3. We hit a first *critical value*  $t_0 \geq t_1$ :
  - $K + \Delta + t_1 H$  nef but
  - $K + \Delta + (t_1 - \eta)H$  is not nef for  $\eta > 0$ . $\Rightarrow \exists$  extremal ray (or face)  $R \subset \overline{NE}(X)$  such that
 
$$R \cdot (K + \Delta + t_1 H) = 0 \quad \text{and} \quad R \cdot H > 0.$$

Thus:  $R \cdot (K + \Delta) < 0$  and  $R$  is a  
 “usual” extremal ray (or face).

Contract/flip  $X_0 \dashrightarrow X_1$  and continue.

**Problem:** What if we get an infinite sequence

$$X_1 \dashrightarrow X_2 \dashrightarrow X_3 \dashrightarrow \dots$$

**Advantage of scaling:** Each  $X_i$  is a minimal model for some  $K + \Delta + tH$ .

$\Rightarrow$  If we know finiteness of models as  $t$  varies,  
 there is no infinite sequence.

Finiteness of  $(X, \Delta + tH)^{min}$  in dim.  $n$  for  $0 \leq t \leq t_0$

$\Downarrow$

MMP with scaling in dim.  $n$

Note: In Mori-MMP, the  $X_i$  are *not*  
 minimal models of anything predictable.

## MMP with scaling near $[\Delta]$

Start with  $(X, S + \Delta)$ ,  $S$  integral.

Get a series of contractions/flips

$$\cdots (X_i, S_i + \Delta_i) \xrightarrow{\phi_i} (X_{i+1}, S_{i+1} + \Delta_{i+1}) \cdots$$

**Claim:** Only finitely many  $i$  s.t.  $S_i \cap \text{Ex}(\phi_i) \neq \emptyset$ .

Proof. (Old knowledge:)

1. the discrepancy  $a(E, X_i, S_i + \Delta_i)$ 
  - weakly increases with  $i$  and
  - strictly increases if  $\text{center}_{X_i}(E) \subset \text{Ex}(\phi_i)$ .
2. Very few  $E$  with  $a(E, X_i, S_i + \Delta_i) < 0$ .
3. If  $S_i \dashrightarrow S_{i+1}$  creates a *new* divisor  $F_{i+1} \subset S_{i+1}$ , then  $\exists E_{i+1}$  with  $a(E_{i+1}, X_{i+1}, S_{i+1} + \Delta_{i+1}) \leq 0$ .
4. Thus: no new divisors for  $i \gg 1$ .
5. Only finitely many  $S_i \dashrightarrow S_{i+1}$  contracts a divisor (Picard number of  $S_i$  drops).

**Corollary.** For  $i \gg 1$ , each  $S_i \dashrightarrow S_{i+1}$  is an isomorphism in codimension 1 (= *traverse*).

So: If  $X_0 \dashrightarrow X_1 \cdots$  is MMP with scaling, then

$$S_N \dashrightarrow S_{N+1} \dashrightarrow S_{N+1} \dashrightarrow \cdots$$

is MMP with scaling (plus isomorphisms).

MMP with scaling in dim.  $n - 1$



Termination with scaling in dim.  $n$  near  $[\Delta]$

Assume: Termination with scaling near  $[\Delta]$

**Scaling to boundary.** Assume that

- $K + \Delta \sim cH + F$  for some  $c \geq 0$  and  $F \geq 0$
- $K + \Delta + H$  is nef, and
- $\text{Supp}(F) \subset [\Delta]$

$\Rightarrow (X, \Delta + t \cdot H)^{\min}$  exists for every  $0 \leq t \leq 1$ .

Proof. Start scaling. At critical value, get a ray

$R$  such that  $R \cdot H > 0$  and  $R \cdot (K + \Delta) < 0$ .

Thus  $R \cdot (cH + F) < 0$  and  $R \cdot F < 0$ .

$\Rightarrow \text{locus}(R) \subset \text{Supp}(F) \subset [\Delta]$ . □

Need: large  $[\Delta]$

**Useless divisor lemma.**

If  $\Delta' \subset$  stable base locus of  $(K + \Delta)$ , then

$(X, \Delta + \Delta')^{\min} = (X, \Delta)^{\min}$ .

Proof. Note that

$$\begin{array}{ccc} (\Delta')^{\min} \subset & \text{stable base locus of } (K + \Delta)^{\min} & \\ & \parallel & \\ & \text{stable base locus of } (K + \Delta + \Delta')^{\min} & \end{array}$$

However,  $(K + \Delta + \Delta')^{\min}$  is base point free,

thus  $(\Delta')^{\min} = 0$ , and so

$(X, \Delta + \Delta')^{\min} = (X, \Delta)^{\min}$ . □

**Bending I.**  $K + \Delta$  is a  $\mathbb{Q}$ -divisor

0.  $K + \Delta \sim_{\mathbb{Q}} rM + F$ ,  $M$  mobile, irreducible and  $F$  is in stable base locus.
1. Take log resolution. Add all new divisors to  $F$ . Increase  $\Delta$  to get  $\text{Supp}(F^+) \subset \lfloor \Delta^+ \rfloor$ .
2. Add  $M$  to  $\Delta^+$  and pick ample  $H$  to get
  - $K + \Delta^+ + M \sim 0 \cdot H + ((r + 1)M + F^+)$
  - $K + \Delta^+ + M + H$  is nef, and
  - $\text{Supp}(M + F^+) \subset \lfloor \Delta^+ + M \rfloor$ .
3. Scale by  $H$  to get  $(X, \Delta^+ + M)^{min}$ :
  - $K + \Delta^+ \sim rM + F^+$
  - $K + \Delta^+ + M$  is nef, and
  - $\text{Supp}(F^+) \subset \lfloor \Delta^+ \rfloor$ .
4. Scale by  $M$  to get  $(X, \Delta^+)^{min}$ .
5. By useless divisor lemma ( $\Delta' := \Delta^+ - \Delta$ ):
 
$$(X, \Delta^+)^{min} = (X, \Delta)^{min}.$$



**Bending II.**  $K + \Delta$  is an  $\mathbb{R}$ -divisor

0.  $K + \Delta \sim_{\mathbb{Q}} r_i M_i + F$ , where  $M_i$  mobile and  $F$  in stable base locus (not obvious).

1-3 goes as before to get

- $K + \Delta^+ \sim \sum r_i M_i + F^+$       $K + \Delta^+ \sim \sum r_i M_i + (\sum_{i=2}^n r_i M_i + F^+)$
- $K + \Delta^+ + \sum M_i$  is nef, and      $K + (\Delta^+ + \sum_{i=2}^n M_i) + M_1$
- $\text{Supp}(F^+) \subset [\Delta^+]$ .

4. (first try): scale  $\sum M_i$ .

Problem:  $\sum r_i M_i \neq c \sum M_i$ .

(second try): scale  $M_1$ . This works, but

Problem: support condition fails at next step when we scale  $M_2$ .

**Solution:** Do not take *all* of  $M_1$  away at the at the first step.

4. (third try) reorder:  $r_1 \leq r_2 \leq \cdots \leq r_k$ .

4.1.j. For  $j = 1, \dots, k$  construct minmod. for

$$(X, \Delta^+ + \frac{1}{r_j}(r_1M_1 + \cdots + r_{j-1}M_{j-1}) + \sum_{i \geq j} M_i)$$

Proof of  $j \rightarrow j + 1$ :

Move  $M_j$  to the left to get

$$(X, \Delta^+ + \frac{1}{r_j}(r_1M_1 + \cdots + r_jM_j) + \sum_{i \geq j+1} M_i)$$

Scale  $r_1M_1 + \cdots + r_jM_j$  with  
scale factor  $r_j/r_{j+1}$ . This gives

$$(X, \Delta^+ + \frac{1}{r_{j+1}}(r_1M_1 + \cdots + r_jM_j) + \sum_{i \geq j+1} M_i)$$

After step 4.1.k:

- $K + \Delta^+ \sim \sum r_i M_i + F^+$
- $K + \Delta^+ + \frac{1}{r_k}(r_1M_1 + \cdots + r_{k-1}M_{k-1}) + M_k =$   
 $K + \Delta^+ + \frac{1}{r_k}(r_1M_1 + \cdots + r_kM_k)$  is nef.
- $\text{Supp}(F^+) \subset \lfloor \Delta^+ \rfloor$ .

4.2. Last step: Scale by  $r_1M_1 + \cdots + r_kM_k$   
to get  $(X, \Delta^+)^{\min}$ .

5. By useless divisor lemma

$$(X, \Delta^+)^{\min} = (X, \Delta)^{\min}.$$

## Finiteness of models

By compactness, enough to prove:

Finiteness of  $(X, \Delta' + \sum t_i D_i)^{min}$  for  $|t_i| \leq \epsilon(\Delta')$

Note: need this for  $\mathbb{R}$ -divisors  $\Delta'$ !

Proof. Induction on  $r$  for  $D_1, \dots, D_r$ .

Let  $(X^m, \Delta^m) := (X, \Delta')^{min}$ . Base point freeness:  
 $g : X^m \rightarrow X^c$  such that  $K_{X^m} + \Delta^m \sim g^*(\text{ample})$ .

$\Rightarrow$  for  $|t_i| \ll 1$ ,  $g^*(\text{ample}) \gg \sum t_i D_i$ ,  
*except* on the fibers of  $g$ .

$\Rightarrow$  MMP to get  $(X^m, \Delta^m + \sum t_i D_i)^{min}$  is  
 relative to  $X^c$ .

Working over  $X^c$ , we can assume that

$K_{X^m} + \Delta^m \sim 0$ . Thus:

$$K_{X^m} + \Delta^m + c \sum t_i D_i \sim c(K_{X^m} + \Delta^m + \sum t_i D_i)$$

$$\Rightarrow (X^m, \Delta^m + \sum t_i D_i)^{min} = (X^m, \Delta^m + c \sum t_i D_i)^{min}$$

Choose  $c$  such that  $\max_i |ct_i| = \epsilon$ . That is:

$(ct_1, \dots, ct_r)$  is on a face of  $[-\epsilon, \epsilon]^r$ .

Faces of  $r$ -cube =  $2r$  copies of  $(r-1)$ -cube.

Done by induction on  $r$ .