

# MARTIN OLSSON: MAIN COMPONENTS OF MODULI SPACES AND LOG GEOMETRY

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Motivation: we want to compactify moduli space  $\mathcal{M} \rightarrow \overline{\mathcal{M}}$ . The case of moduli spaces of curves is misleading, because they are too nice. We have two choices: study  $\overline{\mathcal{M}}$  or  $\mathcal{M}$  if you can take a good “closure” of  $\mathcal{M}$  without including bad components of  $\overline{\mathcal{M}}$ .

*Example.* The Tate curve:

$$(1) \quad \begin{array}{ccccc} \text{smooth elliptic curve} & \longrightarrow & E_q & \longleftarrow & \text{nodal curve} \\ \downarrow & & \downarrow & & \downarrow \\ \text{Spec } \mathbb{Z}[[q]][1/q] & \longrightarrow & \text{Spec } \mathbb{Z}[[q]] & \xleftarrow{q=0} & \text{Spec } \mathbb{Z} \end{array}$$

This is a family of elliptic curves whose special fiber is a nodal curve (right side) and whose general fiber is smooth elliptic (left side).

## 1. MONOIDS

Let  $P = \text{Cone}(1, \mathbb{R})_{\mathbb{Z}} \subset \mathbb{R} \times \mathbb{R}$ ,  $P \rtimes \mathbb{N}$  the free monoid on generators  $x_n$  and  $q$  modulo  $x_{n+2} + x_n = q^2 x_{n+1}$ . This is a graded monoid, where we set  $\deg x_1 = 1$ ,  $\deg q = 0$ .

Now

$$(2) \quad \begin{array}{ccccc} \mathbb{G}_m & \longrightarrow & \text{Proj } (\mathbb{Z}[P \rtimes \mathbb{N}]) & \longleftarrow & \text{chain of curves} \\ \downarrow & & \downarrow & & \downarrow \\ \text{Spec } \mathbb{Z}[q^{\pm}] & \longrightarrow & \text{Spec } \mathbb{Z}[q] & \longleftarrow & \text{Spec } \mathbb{Z} \end{array}$$

where  $\mathbb{Z}$  acts on the middle term of the top row by  $x_i \rightarrow x_{i+1}$ . Taking a quotient by the  $\mathbb{Z}$ -action gives

$$(3) \quad \begin{array}{ccccc} \text{elliptic curve} & \longrightarrow & E_q & \longleftarrow & \text{nodal curve} \\ \downarrow & & \downarrow & & \downarrow \\ \text{Spec } \mathbb{Z}[[q]][1/q] & \longrightarrow & \text{Spec } \mathbb{Z}[[q]] & \longleftarrow & \text{Spec } \mathbb{Z} \end{array}$$

Question: how do you see the toric structure on  $E_q$ ? The answer is to use a log structure.

## 2. LOG STRUCTURE (FONTAINE, ILLUSIE, KATO, ...)

For a scheme, let  $\text{Div}(X)$  be the groupoid of pairs  $(L, s)$ , where  $L$  is a line bundle on  $X$  and  $s : X \rightarrow \mathcal{O}_X$ . Maps  $f : Y \rightarrow X$  induce maps  $f^* : \text{Div}(X) \rightarrow \text{Div}(Y)$ , giving a Div stack on  $X_{\text{ét}}$ .

*Example.* For  $P$  a monoid, consider  $X = \text{Spec } k[P]$  and  $F : P \rightarrow \text{Div}, p \mapsto (\mathcal{O}_X \xrightarrow{p} \mathcal{O}_X)$ . This gives a map  $\overline{\mathcal{M}} = P/F^{-1}(\text{id}_{\mathcal{O}_X}) \rightarrow \text{Div}$ .

**Definition 1.** A log structure on  $X$  is a pair  $\mathcal{M}, \alpha$  where  $\mathcal{M}$  is a sheaf of monoids and  $\alpha$  is a map  $\mathcal{M} \rightarrow \mathcal{O}_X$  s.t.  $\alpha(\mathcal{O}_X^*) \xrightarrow{\sim} \mathcal{O}_X^*$ .

Now, given  $(\mathcal{M}, \alpha)$ , set  $\overline{\mathcal{M}} = \mathcal{M}/\mathcal{O}_X^* \xleftarrow{\pi} \mathcal{M}$ : for  $m \in \mathcal{M}$ ,  $\pi^{-1}(m)$  has a  $\mathcal{O}_X^*$  action, giving a line bundle  $L \xrightarrow{\alpha} \mathcal{O}_X$ . This gives a category of log structures, where the morphisms are of sheaves of monoids compatible with the maps to the structure sheaf. Any map  $Y \rightarrow X$  has an associated  $f^*$  from log structures on  $X$  to those on  $Y$ .

**Definition 2.** A log scheme is a pair  $(X, M_X)$  where  $X$  is a scheme,  $M_X$  a log structure. A map of log schemes  $f : (Y, M_Y) \rightarrow (X, M_X)$  is a map  $Y \rightarrow X$  along with a pullback  $f^b : f^*M_X \rightarrow M_Y$ .

In the commutative diagram above, replacing  $E_q$  with  $(E_q, M_{E_q})$  and  $\text{Spec } \mathbb{Z}[[q]]$  with  $(\text{Spec } \mathbb{Z}[[q]], M_q)$  gives an example of log smooth morphism.

2.1. **Aside on  $\mathcal{A}_{g,d}$ .** For  $S$  a scheme, we set  $\mathcal{A}_{g,d}$  to be the set of pairs  $(A, \lambda)$  where  $A/S$  is an abelian scheme of dimension  $g$ ,  $\lambda : A \rightarrow A^t$  is a polarization, and  $\text{rkKer } (\lambda) = d^2$ .

*Remark.* For  $d = 1$ , Alexeev constructs  $\mathcal{A}_g \rightarrow \overline{\mathcal{A}}_g^{\text{Alex}}$ .

Now, let  $\tau_{g,d}$  classify triples  $(A, P, L)$ , where

- $A/S$  is an abelian scheme of dimension  $g$ .
- $P$  is an  $A$ -torsor with associated map  $f : P \rightarrow S$ .
- $L$  is an ample line bundle on  $P$  s.t.  $f_*L$  has rank  $d$ .

We obtain a group  $(P,L) = \{\sigma : P \xrightarrow{\sim} P \text{ an } A\text{-equivalence}, \tau : \sigma^*L \xrightarrow{\sim} L\}$  which fits into the diagram

$$(4) \quad 1 \rightarrow \mathbb{G}_m \rightarrow (P,L) \rightarrow \text{Ker } (\lambda_L : A \rightarrow P^t = A^t) \rightarrow 1$$

In stack terms, the map  $\tau_{g,d} \rightarrow \mathcal{A}_{g,d}$  is *rigidification* by .

*Remark.* If  $d = 1$ , we have a section  $s : \mathcal{A}_{g,d} \rightarrow \tau_{g,d}$ .

**2.2. Degenerations.** For  $V$  a DVR,  $K = \text{Frac}(V)$ ,  $(A_K, P_K, L_K) \in \tau_{g,d}(K)$ , we obtain an induced triple  $(G, G \circlearrowleft (P, M_P) \rightarrow (\text{Spec } V, M_V), L)$  where  $G$  is a semiabelian scheme over  $V$ ,  $(P, M_P) \rightarrow (\text{Spec } V, M_V)$  is log smooth and proper, and  $L$  is a line bundle on  $P$ . Let  $\Delta = (M_V, (P, M_P) \rightarrow (V, M_V), L, G)$ ,  $\Delta = \text{Aut}_G((P, M_P, L)/(V, M_V))$ . Then we have a commutative diagram

$$(5) \quad 1 \rightarrow \mathbb{G}_m \rightarrow \Delta \rightarrow N \rightarrow 1$$

where  $N$  is a flat group scheme of rank  $d^2$ . Let  $\bar{\tau}_{g,d}$  classify the log version, i.e.  $(M_S, G, (P, M_P) \rightarrow (S, M_S), L)$ . We obtain a diagram

$$(6) \quad \begin{array}{ccc} \tau_{g,d} & \longrightarrow & \bar{\tau}_{g,d} \\ \downarrow & & \downarrow \\ \mathcal{A}_{g,d} & \longrightarrow & \bar{\mathcal{A}}_{g,d} \end{array}$$

where the right hand map is rigidification by the theta group.

**Theorem 1.**  $\bar{\mathcal{A}}_{g,d}$  is proper over  $\mathbb{Z}$ , log smooth over  $\mathbb{Z}[1/d]$ , and  $\mathcal{A}_{g,d} \rightarrow \bar{\mathcal{A}}_{g,d}$  is dense open.

*Remark.* If the log structure is trivial, log smoothness is equivalent to having toric singularities. For  $d = 1$ ,  $\bar{\mathcal{A}}_g$  is the normalization of MC in  $\bar{\mathcal{A}}_g^{\text{Alex}}$ .

### 3. THETA LEVEL STRUCTURE (MUMFORD)

Let  $\delta = (d_1, \dots, d_g)$ ,  $d = d_1 \cdots d_g$  over  $\mathbb{Z}[1/2d]$ . Now, set  $K(\delta) = \bigoplus \mathbb{Z}/(d_i)$  with dual  $\hat{K}(\delta) = \text{Hom}(K(\delta), \mathbb{G}_m)$ , and define  $(\delta) = \mathbb{G}_m \times K(\delta) \times \hat{K}(\delta)$ , with product  $(\alpha, x, \ell)(\alpha', x', \ell') = (\alpha\alpha'\ell(x'), x+x', \ell+\ell')$ . Let  $\mathcal{M}_{g,\delta}(S)$  classify quadruples  $(A, L, \sigma, \epsilon : e^*L \xrightarrow{\sim} \mathcal{O}_S)$  s.t.

- $A/S$  is an abelian scheme,
- $L$  is relatively ample on  $A$  and symmetric,
- $\sigma : (\delta)_S \xrightarrow{\sim}_{A,P,L}$  is the identity on  $\mathbb{G}_m$ .

By a theorem of Mumford, if  $\delta|d_i$  for all  $i$ , then  $\mathcal{M}_{g,\delta}^{\text{tot}}$  is a  $g$ -projective variety. The reason is that there is a unique irreducible representation  $V_\delta$  of  $(\delta)$  of rank  $d$  on which  $\mathbb{G}_m$  acts by multiplication:

$$(7) \quad \begin{array}{ccc} A & \longrightarrow & \mathbb{P}(f_*L) \xrightarrow{\sim} \mathbb{P}(V_{\delta,S}) \\ \uparrow e & & \\ S & & \end{array}$$

giving  $\mathcal{M}_{g,\delta}^{\text{tot}} \rightarrow \mathbb{P}V_\delta$ .

Question: How do we resolve the singularities of the closure?

Answer: There is a log smooth resolution  $\bar{\Theta}_{g,\delta}^{\text{tot}}$  of  $\bar{M}_{g,\delta}^{\text{tot}}$ .

Finally, setting  $\Sigma_{g,\delta} = \text{Isom}(\cdot, (\delta))$ , we obtain

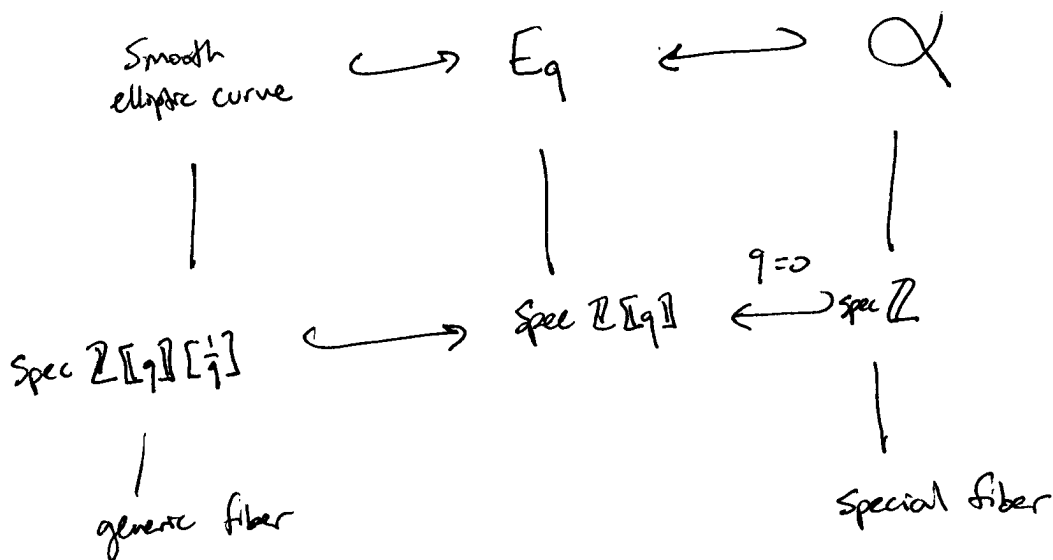
$$(8) \quad \begin{array}{ccc} P & \xrightarrow{\sim} & P^2 = P \\ & \searrow & \downarrow \\ & & \Sigma_{g,\delta} \\ & & \downarrow \\ & & \mathcal{M}_{g,\delta} \end{array}$$

where  $\mathcal{M}_{g,\delta}$  is a rigidification of the fixed locus of the top map.

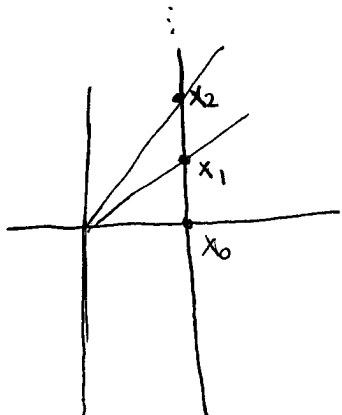
Motivation:  $\mathcal{M} \rightarrow \bar{\mathcal{M}}$  want to compactify moduli spaces. Curves misleading too nice.

Two choices: Just study  $\bar{\mathcal{M}}$ , or study  $\mathcal{M}$  if you can take a good "closure" of  $\mathcal{M}$  without including bad components of  $\bar{\mathcal{M}}$ .

Example. The Tate curve



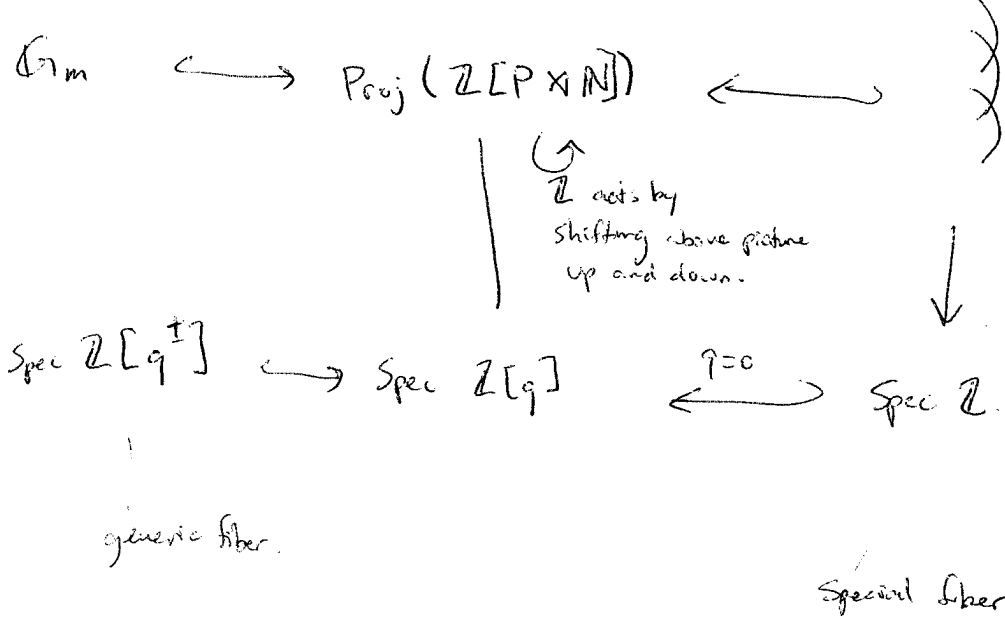
$$P = \text{cone}(1, \mathbb{R})_{\mathbb{Z}} \subset \mathbb{R} \times \mathbb{R}.$$



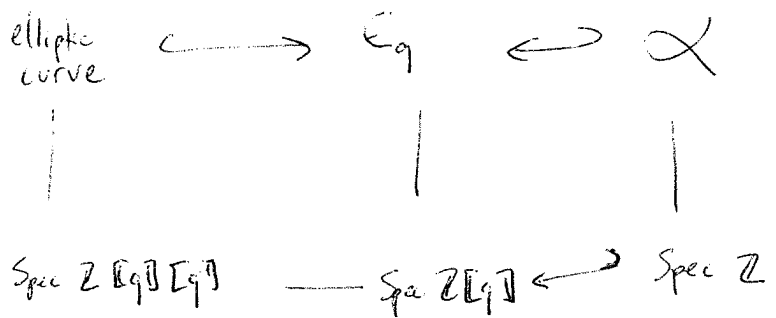
$P \times \mathbb{N} =$  free monoid on generators  $x_n$  and  $q$

$$x_n + x_{n+2} = q + 2x_{n+1}$$

This is a graded monoid with  $\deg(x_i) = 1, \deg(q) = 0$ .



$\left. \begin{array}{l} \text{---} \\ \text{---} \\ \text{---} \end{array} \right\}$  quotient by  $\mathbb{Z}$ -action.



Q: How do you see toric structure on  $E_q$ ?

A: log structure. (Fontaine, Illusie, Kato, ...)

$X$  scheme.

$\text{Div}(X)$  groupoid of pairs  $(L, s)$

$L$  line bundle on  $X$ .

$s: L \rightarrow \mathcal{O}_X$  ~~embedding~~.

$f: Y \rightarrow X$  gives

$f^*: \text{Div}(X) \rightarrow \text{Div}(Y) \rightsquigarrow \text{Div stack on } X_{\text{et.}}$

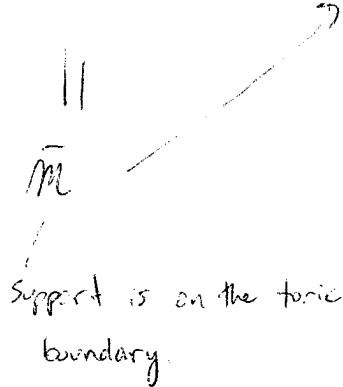
Example  $\mathbb{P}^1$  a manifold

$X = \text{Spec } k[P] \rightsquigarrow \mathbb{P}^1 / F^{-1}(\text{id}_{\mathbb{P}^1}) \rightarrow \text{Div}$

Map

$\mathbb{P}^1 \rightarrow \text{Div}$  ~~gives rise to~~

$\mathbb{P}^1 \rightarrow (\mathcal{O}_X \xrightarrow{\mathbb{P}^1} \mathcal{O}_X)$



Def. A log structure on  $X$  is a pair  $(\mathcal{M}, \alpha)$

$\mathcal{M}$  sheaf of monoids

$\alpha: \mathcal{M} \rightarrow \mathcal{O}_X$  s.t.  $\alpha(\mathcal{O}_X^{*}) \simeq \mathcal{O}_X^{*}$ .

Given  $(\mathcal{M}, \alpha)$ ,  $\bar{\mathcal{M}} = \mathcal{M} / \mathcal{O}_X^{*} \xleftarrow{\pi} \mathcal{M}$ .

$\mathcal{M} \xrightarrow{\omega} \pi^{-1}(m)$

$\pi^{-1}(m)$  has an  $\mathcal{O}_X^{*}$  action  $\xleftrightarrow[\text{to}]{\text{associated}}$  line bundle  $L_m$

using  $\alpha$ , we get

$L_m \xrightarrow{\alpha} \mathcal{O}_X$ .

↳ category of log structures.

$$f: Y \rightarrow X \text{ gives } f^*: (\text{log structures on } X) \rightarrow (\text{log structures on } Y)$$

Def. Log scheme is a pair  $(X, M_X)$   $X$  scheme  
 $M_X$  log structure

Category by morphisms

$$(Y, M_Y) \rightarrow (X, M_X) \text{ is } f: Y \rightarrow X$$

Should think of this as generalization of pair  
(scheme, divisor).

$$f^b \cdot f^* M_X \rightarrow M_Y.$$

old picture becomes

$$\begin{array}{ccccc} \text{Elliptic curve} & \longleftrightarrow & (E_q, M_{E_q}) & \longleftrightarrow & \mathcal{X} \\ & & \downarrow & & \downarrow \\ \text{Spec } \mathbb{Z}[q, \frac{1}{q}] & \longleftrightarrow & (\text{Spec } \mathbb{Z}[q, \frac{1}{q}], M_q) & \longleftrightarrow & \text{Spec } \mathbb{Z} \end{array}$$

Now consider abelian varieties  $A_{g,d}$  of dimension  $g$ , polarization degree  $d$ .

$$A_{g,d}(S) = \left\{ (A, \lambda) \mid \begin{array}{l} A/S \text{ abelian scheme of dim } g. \\ \lambda: A \rightarrow A^t \text{ polarization} \\ \text{rank}(\ker(\lambda)) = d^2. \end{array} \right.$$



Remark  $d=1$ .

Alexeev constructed  $A_g \hookrightarrow \bar{A}_g^{\text{Alex.}}$

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How think of  $A_{g,d}$ ?

$\mathcal{T}_{g,d}$  classifies triples  $(A, P, L)$ .

Think of  $P$  as an  $A$  torsor  $f: P \rightarrow S$

$L$  ample on  $P$  s.t.  $f_* L$  rank  $d$ .

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$$1 \rightarrow \mathcal{G}_m \rightarrow \mathcal{A}_{(P,L)} \xrightarrow{\quad} \ker(\lambda_L: A \rightarrow P^t = A^t) \rightarrow 1$$

||

$$\left\{ \begin{array}{l} \sigma: P \cong P \\ A\text{-equiv} \end{array} : \tau: \sigma^* L \xrightarrow{\sim} L \right\}$$

$$A_{g,d} \longleftarrow \mathcal{T}_{g,d} \quad \text{rigidification by } \mathcal{G}_m$$

Standard construction for stacks.

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Remark. If  $d=1$ ,  $\mathcal{T}_{g,d} \xrightarrow{\quad} A_{g,d}$  in this case  $\ni$  section  $s$ .

Degenerations:  $V$  a dvr.  $k = \text{frac}(V)$ .

$$(A_k, P_k, L_k) \in \mathcal{T}_{g,d}(k).$$

How can it degenerate?

$A_k \rightsquigarrow G$  semiabelian scheme /  $V$ .  
 $\curvearrowright G$  acts in log smooth category.

$P_k \rightsquigarrow (P, M_P) \rightarrow (\text{Spec } V, M_V)$   
 log smooth, proper.

$L_k \rightsquigarrow L$  on  $P$ .

$$\Delta = (M_V, (P, M_P) \rightarrow (V, M_V), L, G).$$

$$\mathcal{G}_\Delta = \text{Aut}_G((P, M_P), L) / (V, M_V)$$

If did degeneration right, get

$$1 - G_m - \mathcal{G}_\Delta \xrightarrow{\substack{\text{finite} \\ \text{flat gp} \\ \text{scheme of} \\ \text{rank } d^2}} 1.$$

$$\begin{array}{ccc} \mathcal{T}_{g,d} & \hookrightarrow & \bar{\mathcal{T}}_{g,d} \text{ classifies} \\ \downarrow & & \downarrow \text{rigidity by theta group.} \\ \mathcal{A}_{g,d} & \hookrightarrow & \bar{\mathcal{A}}_{g,d} \end{array} \quad (M_S, G, (P, M_P) \rightarrow (S, M_S), L).$$

Thm.  $\bar{\mathcal{A}}_{g,d}$  proper over  $\mathbb{Z}$ , log smooth over  $\mathbb{Z}[\frac{1}{d}]$ .

$\mathcal{A}_{g,d} \hookrightarrow \bar{\mathcal{A}}_{g,d}$  dense open.

Remarks 1. log smooth  $\longleftrightarrow$  toric singularities.

2. For  $d=1$ ,  $\bar{A}_g =$  normalization of MC in  $\bar{A}_g^{\text{Alex}}$ .  
Main component.

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classic work of Mumford: Theta level structure.

Fix integers  $\delta = (d_1, \dots, d_g)$ .  $d = \sum d_i$  work over  $\mathbb{Z}[\frac{1}{2d}]$ .

$$k(\delta) = \bigoplus \mathbb{Z}/(d_i).$$

$$\hat{k}(\delta) = \text{Hom}(k(\delta), G_m).$$

$\tau$   
involution

$$\mathcal{G}(\delta)$$

$$G_m \times k(\delta) \times \hat{k}(\delta)$$

mult. defined by

$$(\alpha, x, \ell) \cdot (\alpha', x', \ell')$$

$$= (\alpha \alpha' \ell(x'), x + x', \ell + \ell').$$

$\mathcal{M}_{g,\delta}(S)$  objects are types  $(A, L, \sigma, \varepsilon: e^* L \cong \mathcal{O}_S)$ .

•  $A/S$  abelian scheme.

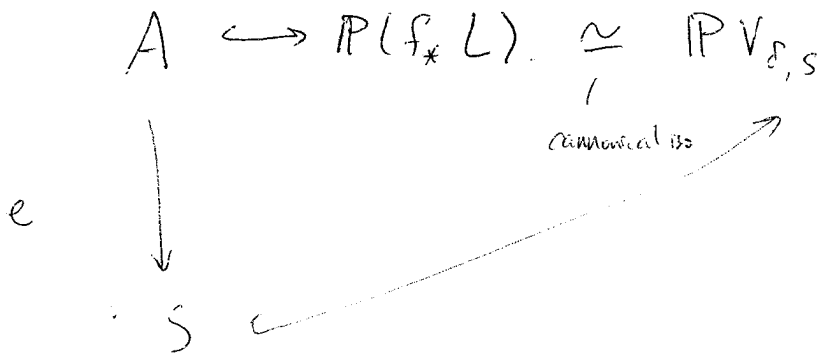
•  $L$  rel. ample on  $A$ , symmetric.

•  $\sigma: \mathcal{G}(\delta)_S \cong \mathcal{G}(A, L)$  identity on  $G_m$ .

One thing Mumford showed is if

$\exists (d_i \forall i)$ , then  $\mathcal{M}_{g,\delta}^{\text{tot}}$  is a quasi projective variety.

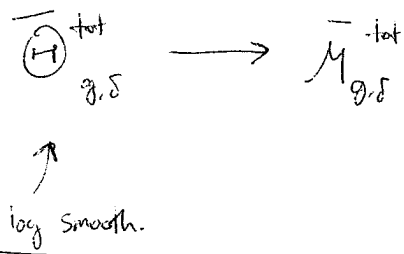
Reason:  $\exists!$  irred. rep  $V_\delta$  of  $\mathcal{G}(\delta)$  of rank  $d$  on which  $G_m$  acts by multiplication.



This is roughly  
embedding by  
theta functions.

induces a map  $\mathcal{M}_{g,S}^{\text{tot}} \hookrightarrow \mathbb{P}V_g$ , which Mumford shows is an embedding.

Q: How to resolve singularities of the closure?



$\mathcal{M}_{g,S}$   
" rigidification of  
~~Fix(p)~~  $\text{Fix}(p)$ .

