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Title: K-theoretic Schubert calculus on the affine Grassmannian

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Summary: Three models for the affine Grassmannian are introduced. These models are used to explore the (K-theoretic) Schubert calculus on the affine Grassmannian, with “bonus” and “non-bonus” features of the calculus listed. To end, the speaker’s results on K-theory of the affine Grassmannian (with co-authors Schilling, Shimozono) are presented.

Joint with Anne Schilling and Mark Shimozono.

$G$  a simple simply-connected algebraic group over  $\mathbb{C}$ ,  $B$  Borel,  $T$  torus,  $G \supset B \supset T$ , and  $K$  maximal compact subgroup of  $G$ ,  $T_{\mathbb{R}}$  the real torus.

For an example, think of  $SL(n, \mathbb{C})$  containing (upper triangular matrices) containing  $(\mathbb{C}^*)^{n-1}$ , with compact group  $SU(n)$  containing  $(S^1)^{n-1}$ .

$S_n$  is the Weyl group  $W$ , with  $S_n \ltimes \mathbb{Z}^{n-1}$  the affine Weyl group  $W_{af} = W \ltimes Q^\vee$ , and  $Q^\vee$  the coroot lattice. (In example, the coroot lattice is  $n$ -vectors in  $\mathbb{Z}^n$  with sum of entries = 0). Note that  $T_{af} = T \times \mathbb{C}^*$ . A typical root of  $W_{af}$  is  $\alpha + n\delta$ , where  $n$  tells you the action of the extra  $\mathbb{C}^*$  and  $\alpha$  is a usual root.

$Gr_G$  is the affine Grassmannian, and a model for it is  $G(\mathbb{C}((t)))/G(\mathbb{C}[[t]]) = \mathcal{G}/\mathcal{P}$ . This quotient is an ind-scheme, a union of finite-dimensional schemes.

(Note:  $\mathbb{C}((t)) = \{\sum_{i > -N} a_i t^i \mid a_i \in \mathbb{C}\} = \cup_{N \leq 0} t^N \mathbb{C}[[t]]$ .)

Another model for the affine Grassmannian  $Gr_G$  is  $\Omega K = \{f : S^1 \rightarrow K\}$  where  $f$  is polynomial,  $f(1) = 1$ , and this is a topological group.

The last model is the thick affine Grassmannian, an invention of Kashiwara.  $X = Gr_G^{thick}$  is a scheme of infinite type.  $X = \coprod_{w \in W_{af}/W^*} \overset{\circ}{X}_w$  where  $\overset{\circ}{X}_w \cong \text{Spec}(\mathbb{C}[x_1, \dots])$ .

Look back:  $\mathcal{G}/\mathcal{P} = \coprod_{w \in W_{af}/W} \overset{\circ}{X}_w$ .  $\dim(\overset{\circ}{X}_w) = \ell(w)$ , the length of the minimal-length coset representative. Finite-dimensional Schubert cells/varieties  $X_w = \overline{\overset{\circ}{X}_w}$ .

Schubert varieties and the  $T$ -fixed points are labeled by  $W_{af}/W$ , which is in bijection with the coroot lattice  $Q^\vee$ . It is also in bijection with teh cosets  $W_{af}$ , and we could label by minimal coset representatives.

How do we get the torus-fixed points? The easiest way is to look in the second model.  $Q^\vee$  is the group of homomorphisms  $\{\chi : \mathbb{C}^* \rightarrow T\} = \chi : S^1 \rightarrow T_{\mathbb{R}}$ . The  $T$ -fixed points correspond to cocharacters.

How do we compare the models?  $\Omega K$  and  $G(\mathbb{C}((t)))/G(\mathbb{C}[[t]])$  are known to be weak homotopically equivalent (Quillen transcribed by Mitchell, Pressley-Segal). Think of loop groups;  $G/B = K/T_{\mathbb{R}}$ .

There’s an interpretation of the affine Grassmannian that mirrors the traditional one, at least for  $SL(n)$ . Let  $G = SL(n, \mathbb{C})$ . Then

$$SL(m, \mathbb{C}((t)))/SL(n, \mathbb{C}[[t]]) = \{\text{full rank } \mathbb{C}[[t]] - \text{modules } \mathcal{L} \in \mathbb{C}((t))^n \text{ of det } 1\} \quad (1)$$

The right-hand side is a lattice. Think of top of LHS as basis for lattice, and bottom of LHS as what I'm allowed to change basis. A typical point in the LHS looks like

$$\begin{pmatrix} t_1^a & 0 & - & 0 \\ 0 & t^{a_2} & - & 0 \\ 0 & 0 & * & 0 \\ 0 & 0 & - & t^{a_n} \end{pmatrix}$$

which corresponds to  $\mathcal{L} = \text{span}_{\mathbb{C}[[t]]} \{t^{a_1} e_1, t^{a_2} e_2, \dots, t^{a_n} e_n\}$ .

Philosophy: When you study Schubert calculus you get a whole package once you decide what space to study. You can look at  $H^*, K^*, H^T, K^T, QH, QK, \dots$   $H^{T_{af}}(\mathcal{G}/\mathcal{P})$  and  $K^{T_{af}}(\mathcal{G}/\mathcal{P})$  were studied by Kostant and Kumar in the general Kac-Moody setting. They studied topological K-theory, but the claim today is it doesn't matter which version you study (or the model) if we're only interested in the ring.

Claim:  $H^T, K^T$  are the same for all three models. (The homotopy equivalence is  $T$ -equivariant.)

Why? We can use localization and look at fixed points.

$$H^T(Gr_G) \subset \prod_{Q^\vee} H^T(pt),$$

$$K^T(Gr_G) \subset \prod_{Q^\vee} K^T(pt).$$

(Q: When does this stuff matter, then? A: When you want to know the geometric structure of the basis. Hopf structure is same, which we'll talk about later.)

We're going to use a smaller torus. (This idea is due to Petersen.)

Bonus features of the Grassmannian  $Gr_G$ :

- $Gr_G = \Omega K$  is a group. We get extra structure on the rings  $H^T(Gr_G)$  and  $K^T(Gr_G)$  and can make them Hopf algebras. Bigger torus is not equivariant with respect to the group operation, which is why small torus is important.
- There are natural maps  $\mathcal{G}/\mathcal{B} \rightarrow \mathcal{G}/\mathcal{P}$ , but also map going the other way:  $\Omega K = \mathcal{G}/\mathcal{P} \rightarrow \mathcal{G}/\mathcal{B} \cong LK/T$
- $G \hookrightarrow H$  gives  $Gr_H \hookrightarrow Gr_G$ , which gives  $H_*(Gr_G) \rightarrow H_*(Gr_H)$ .
- For  $G = SL(n, \mathbb{C})$ ,  $H_*(Gr_G) = \mathbb{Z}[h_1, \dots, h_{n-1}] \subset Sym(h_i \text{ symmetric polynomials})$ . No quotients. ( $\Delta h_i = \sum_{j \leq i} h_j \otimes h_{i-j}$ )
- (Affine Grassmannian important in geometric representation theory, and has important subvarieties that don't appear in usual case.)

Non-bonus features:

- $\xi^w \xi^u = \sum c_{uw}^v \xi^v \in H^*(Gr_G)$ . Can prove structure constants are positive (Kumar-Nori), but would like  $c_{uw}^v = \#\{\text{lattices } \mathcal{L} \text{ satisfying certain conditions}\}$ .
- Multiplication  $\Omega K \times \Omega K \rightarrow \Omega K$  is not algebraic.
- The map from the affine grassmannian to affine flag variety,  $\Omega K \hookrightarrow LK$ , also not algebraic.
- $\mathcal{G}/\mathcal{P}$  is not smooth. What does that mean? Fishel, Goj, Telemann have result that Hodge decomposition fails; can't write this as a union of finite dimensional smooth subvarieties.
- In homology can write Schubert classes as polynomials with no quotient, but the variables have no apparent direct geometric meaning.

### 1.1 GKM model for $K^T(Gr_G)$

The usual

$$K^T(G/B) = \{f : W \rightarrow R(T) = K^T(pt) \mid f(w) - f(r_\alpha w) \in (1 - e^{-\alpha})R(T) \forall w, \alpha\} \quad (2)$$

$$\subset \prod_w R(T). \quad (3)$$

This doesn't quite work for  $K^T(Gr_G)$  because in GKM need one-dimensional edges with different weights, and edges with  $r_\alpha, r_{\alpha+\delta}, r_{\alpha+2\delta}$  all have the same weight under small torus action.

**Theorem 1.**  $f : Q^\vee \rightarrow R(T)$ . Then

$$f \in K^T(Gr_G) \iff f((1 - t_{\alpha^\vee})^d t_\lambda) \in (1 - e^\alpha)^d R(T) \forall d \geq 0, \alpha, \lambda.$$

**Proposition 1.**  $\Delta f(t_\lambda \otimes t_\mu) = f(t_{\lambda+\mu})$

These give a complete description of the Hopf algebra (equivariant K-cohomology).

In last five minutes, give description of dual;  $\mathbb{K}$  will be the Kostant-Kumar nil Hecke ring, and it's  $\langle T_i, e^\lambda / \sim \rangle$ . The relations  $\sim$  come from  $\mathbb{K}$  acting on  $K^T(\mathcal{G}/\mathcal{B})$ .

$$K^T(\mathcal{G}/\mathcal{B}) \rightarrow K^T(\mathcal{G}/\mathcal{P}_i) \rightarrow K^T(\mathcal{G}/\mathcal{B})$$

and the composition of these two arrows is  $T_i$ . (Note  $e^\lambda \in \mathbb{Z}[P] = R(T)$ ).

$\mathbb{K}$  also acts dually on  $K$ -homology. Petersen's idea is that because of thereverse map get  $\Omega K \subset LK$ , so  $\Omega K$  acts on  $LK/T$ . Get an action of  $K_T(Gr_G)$  on  $K_T(\mathcal{G}/\mathcal{B})$ .

We'll end with a theorem:

**Theorem 2** (LSS, Petersen in homology). *There exists  $k : K_T(Gr_G) \xrightarrow{\sim} Z_{\mathbb{K}}(R(T)) \subset \mathbb{K}$ , where  $Z$  is the centralizer.*

*Please send corrections to taipale at math.umn.edu*